Alberto López-Basaguren Leire Escajedo San Epifanio *Editors*

The Ways of Federalism in Western Countries and the Horizons of Territorial Autonomy in Spain

Volume 2

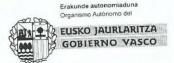


San Epifanio
Editors

The Ways of Federalism in Western Countries and the Horizons of Territorial Autonomy in Spain

Volume 2







NAZIOARTEKO BIKAINTASUN CAMPUSA CAMPUS DE EXCELENCIA











Editors
Alberto López-Basaguren
Leire Escajedo San Epifanio
Fac. Social Sciences and Communication
University of the Basque Country
Leioa - Bizkaia
Spain

ISBN 978-3-642-27716-0 ISBN 978-3-642-27717-7 (eBook) DOI 10.1007/978-3-642-27717-7 Springer Heidelberg New York Dordrecht London

Library of Congress Control Number: 2013938613

© Springer-Verlag Berlin Heidelberg 2013

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed. Exempted from this legal reservation are brief excerpts in connection with reviews or scholarly analysis or material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. Duplication of this publication or parts thereof is permitted only under the provisions of the Copyright Law of the Publisher's location, in its current version, and permission for use must always be obtained from Springer. Permissions for use may be obtained through RightsLink at the Copyright Clearance Center. Violations are liable to prosecution under the respective Copyright Law.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)

Contents

	Intergovernmental Ries and in Spain
The Un	vernmental Relations ited States
	vernmental Relations

Rainer Arnold

The Intergovernmental Relation
Luzius Mader

The Intergovernmental Relation

of Germany

Intergovernmental Relations in Ruling on the Statute of Auton María Jesús García Morales

The Principle of Separation of Relations in Comparative Pers Eleonora Ceccherini

The Qualitative Development of the Spanish System of Autonomous Communities: Changes to the Statutes of Autonomy Esteban Arlucea Ruíz		The Decentralisation of the Adviso State: The Position of the Council of Catalonia Following CCS 31/201 L. Delgado del Rincón
Statutory Rights and the Federal System	587	The Senate Territorial Groups
Multilevel Rights Protection in Canada	597	Ignacio Durbán Martin Reinforcement for the Position of
Difference, Dissent, and Community Identity: Striking the Balance in Rights Theory and Jurisprudence	607	in the New Statutes of Autonomy . José M. Porras Ramírez
Ian Peach Exploring the Social Origins of Elite Accommodation: Recognition and Civil Society Integration in Divided Societies	619	A Basque (and Catalan) Republic Context: Reflections on New Scena of the Autonomous State
Part III Territorial Autonomy in Spain: Other Issues		Federalism and Democracy in the Jule Goikoetxea
Openness, Complexity, and Asymmetry: A Comparative Perspective on the Challenges of the "Autonomic State"	639	Fractal Federalism for Complex S Igor Filibi
Prevalence and Primacy: An Essay on Their Scope	657	Diversity and 'Frontier Effect': To of the Basque Economic Agreement Amelia Pascual Medrano
The Primacy Clause in the Spanish Constitution: A Contribution to the Debate	669	Decentralization, Regional Parties in Spain
Asymmetry as an Element of Federalism: A Theoretical Speculation Fifty Years Later—Readdress the Spanish Case	(679)	Autonomy or Independence: An A Catalan Regional Election Eric Guntermann
The Principle of Federal Loyalty and Trust in the Estado De Las Autonomías	691	The Role of Autonomous Commu European Grouping of Territorial (with Particular Reference to the
Brief Considerations Regarding the Structure of the Spanish Senate and the Boundary Between Federal Covenant and Autonomous State Elena García-Cuevas Roque	701	Santiago Roura Determining of Local Attributions Legislation in Spain
The Adhesion of the Spanish Autonomic State to the Disaggregative Model of Federalism: A Possible Way	711	The Governance of Metropolitan in the Spanish Case Joaquín Martín Cubas and Antonio
Democratic Citizenship and Spanish Multinational (Dis)Order: Procedural Democracy Versus Secession Clause	731	The Advisable Coordination of th Taxation as Reluctance to the Der C.J. Borrero Moro

sin territorio. Cuatro relatos de la Espa

edad del derecho estatal respecto del derec

en el Estado autonómico, Comar-

e. El problema de su articulación p Revista Española de Derec

pracipios de compessar a prevalencia co autonómico", Revissa Incide de la Universida Symmetry as an Element of Federalism: Theoretical Speculation Fifty Years Later—Readdress the Spanish Case

Esther Seijas Villadangos

Sistract Two essential and complementary parts are integrated in this article: a meoretical reflection about asymmetrical federalism and a pragmatic approach to the situation in Spain.

The former has sought to consolidate the key defining elements of asymmetry. symmetry for Constitutional Law is a form of state organization where territorial with political autonomy enjoy a differentiated constitutional treatment, estimized for the positive recognition of having different types of singularities inguistic, juridical, fiscal) with respect to the other units of the State. Linked to symmetry, we had proposed a neologism, dissymmetry, in an attempt to refine the encept. Dissymmetry will be applied to those situations where a proportional or metrical situation was broken in an anomalous or faulty way.

The latter has tried to cast some light on the Spanish situation through a series of memmas: We have paid attention to the transition from autonomism to federalism and the cohabitation between two types of federalism, a functional federalism and a monalist federalism, that would result in an asymmetric federalism.

The tension between equality and asymmetry has put on the table the main moblem of asymmetric federalism: not considering the differences as grievances. that, our policies must distinguish what is really essential for citizens.

The last dilemma refers to the risk of emulating asymmetries by other territories, which united with a warning of avoiding a form of autistic federalism could Imminate our future—a future necessarily based on unity and solidarity.

This short essay is mainly speculative. It attempts to highlight a principal weakness in meoretical treatments of the concept of federalism, and to offer modifications of the federal concept. It is not in any sense a complete theoretical statement of federalism. Nor is it meant to survey writings on federalism, although it is generally based on a wide sampling from those writings (Tarlton 1965: 861).

[■]S. Villadangos (⋈)

la de Derecho, Departamento de Derecho Público, Universidad de León, Campus de 24071 León, Spain

meseiv@unileon.es

Asymmetry as an Element of Federalism: A Theoretical Speculation Fifty Years Later—Readdress the Spanish Case

Esther Seijas Villadangos

AU1

25

26

27

28

29

30

Abstract Two essential and complementary parts are integrated in this article: a 5 theoretical reflection about asymmetrical federalism and a pragmatic approach to 6 the situation in Spain.

The former has sought to consolidate the key defining elements of asymmetry. 8 Asymmetry for Constitutional Law is a form of state organization where territorial 9 units with political autonomy enjoy a differentiated constitutional treatment, 10 legitimized for the positive recognition of having different types of singularities 11 (linguistic, juridical, fiscal) with respect to the other units of the State. Linked to 12 asymmetry, we had proposed a neologism, dissymmetry, in an attempt to refine the 13 concept. Dissymmetry will be applied to those situations where a proportional or 14 symmetrical situation was broken in an anomalous or faulty way.

The latter has tried to cast some light on the Spanish situation through a series of 16 dilemmas: We have paid attention to the transition from autonomism to federalism 17 and the cohabitation between two types of federalism, a functional federalism and a 18 nationalist federalism, that would result in an asymmetric federalism.

The tension between equality and asymmetry has put on the table the main 20 problem of asymmetric federalism: not considering the differences as grievances. 21 For that, our policies must distinguish what is really essential for citizens.

The last dilemma refers to the risk of emulating asymmetries by other territories, 23 which united with a warning of avoiding a form of autistic federalism could 24 illuminate our future—a future necessarily based on unity and solidarity.

This short essay is mainly speculative. It attempts to highlight a principal weakness in theoretical treatments of the concept of federalism, and to offer modifications of the federal concept. It is not in any sense a complete theoretical statement of federalism. Nor is it meant to survey writings on federalism, although it is generally based on a wide sampling from those writings (Tarlton 1965: 861).

E.S. Villadangos (⋈)

Facultad de Derecho, Departamento de Derecho Público, Universidad De León, Campus de Vegazana, 24071 León, Spain e-mail: meseiv@unileon.es

A. López Basaguren and L. Escajedo San-Epifanio (eds.), The Ways of Federalism in Western Countries and the Horizons of Territorial Autonomy in Spain, Vol. 2, DOI 10.1007/978-3-642-27717-7_44, © Springer-Verlag Berlin Heidelberg 2013

- 31 Approximately 50 years after Tarlton's essay about symmetry and asymmetry,
- 32 really focused on symmetry, we would try to make a reflection about asymmetry,
- which for Spain appears as more interesting one for the reasons than we will explain
- 34 in the following pages.

35 Introduction

41

42

43

44

45

46

47

48

49

50

Asymmetry has been introduced in the federal theory since the beginning of its existence, but it was in 1965 when Charles D. Tarlton rediscovered the importance of linking federalism to symmetry and asymmetry. The pragmatic implementation of federalism has required the creation of different ways of adapting flexible federal principles to the complex reality of several states.

This work has two targets: first, to develop a theory about the meaning of introducing asymmetrical elements into a federal system; second, to resolve the main problems that it could create, especially connected to the acceptance of the formula for a State, with special attention to the case of Spain.

The format of what follows can be outlined briefly at the outset. The first section is a general assessment of approaches to asymmetry through the answers to different capital questions: Why, what, how, and how many asymmetries? The second half is a brief discussion about the main issues of the Spanish decentralization, using the concept of asymmetry and the useful methodology of dilemmas or antithesis. A final reflection will conclude this study.

Can a Federal System Be Asymmetrical? A Brief Theory About Asymmetry in a Federal Context

Symmetry in federalism refers to sharing by component units, whereas asymmetry 53 expresses the extent to which component units do not share in these common 54 features. "Weakness" is the key concept, the reference for analyzing asymmetry, 55 according to Tarlton's speculation. This pathology should be treated or should be 56 integrated in the State, in a way of cohabitation. Apart from studying the different 57 types of asymmetries and their consequences, the main discussion must show how 58 important it could be to design a compatible way of federalism that could include 59 several degrees of asymmetry and their limits. Now, we will speculate. 60

61 Justification: Why Asymmetry?

- The main reason for an asymmetrical performance of a decentralized State is to search for an instrument in order to accommodate the differences for achieving a
- 64 stable State. States with a variety of cultures, languages and religions could find in

asymmetry a modus operandi for managing them. Nonetheless, asymmetry can 65 neither be regarded a priori as useless nor a panacea. With that considered, we will 66 try to justify the asymmetrical resource in these first paragraphs: Why develop a 67 theory about asymmetry? Why asymmetry?

Why make a dissertation about asymmetry? In 1965, Charles Dudley Tarlton 69 wrote a brief essay about symmetry and asymmetry, which is the core of our article. 70 Tarlton wrote three interesting studies about federalism and asymmetry: "Symme-71 try and asymmetry as elements of federalism: a theoretical speculation" (1965); 72 "Federalism, political energy and entropy: implications of an analogy" (1967) and 73 "The study of federalism: a skeptical note" (1971). The Voting Rights Act, a law 74 that consolidated an only-citizenship-in-North-America provision, was his main 75 reference. From this academic point of view, we could differentiate three main 76 stages in the study of asymmetry: the first one, the beginning of the concept, in 77 Tarlton's works: the second one, the consolidation of asymmetry in the theory 78 about federalism, Agranoff's (1999) volume; and the last one, with two capital 79 references for any comparative study about asymmetrical federalism, with Watts 80 and Burgess's works.

Why asymmetry? We will try to solve the beginning and the end of asymmetry, 82 legitimacy and challenges of asymmetry. Looking back to history, we could find 83 special differences in political organizations, maybe the ancestors of asymmetry: foedera aequa-foedera iniqua, German hegemony federalism (Seijas 2003: 85 222-251). These types of differences were justified by the Latin expression 86 exceptio firmat regulam, which we adapt to a theory about asymmetry in exceptions to help fulfill rules. We link the legitimacy of asymmetry with the need of searching for an instrument to link the different parts of a State. A pragmatic approach in 89 order to join the different units in a State legitimizes asymmetry. This is very close 90 to the main challenge of asymmetry. The aim of asymmetry is to integrate the 91 different units in search of stability.

Definition: What Is Asymmetry?

The notion of asymmetry refers to the situation where some territorial units should 94 be allowed some scope for reflecting on their specific characteristics and needs.

In an etymological approach to asymmetry, we must refer to the Greek word 96 ασυμμετρια, which means disproportion. In other words, asymmetry is a lack of 97 symmetry that implies another element for making a comparison. This is an aseptic meaning. A situation where a heterogeneous element is introduced, breaking the 99 proportionality of the parts, between them and in relation to the whole. A second 100 meaning, in a pejorative sense, what we call dissymmetry—the prefix dis-expresses 101 negation or completeness or intensification of an unpleasant or unattractive 102 action—will be applied to those situations where a proportional or symmetrical 103 situation was broken in an anomalous or faulty way (i.e., for political pressures, the 104 threat of secession or self-determination, the confusion between powers—if you 105

AU2

AU3

92

93

have differences in culture, religion, language ... you could reach more powers in economy, social services, or foreign policy or more representatives in state institutions). When a territorial organization is based on *dysymmetries*, we have to speak about the pathology of federations, meaning the failure of them (Watts 1999: 109–115).

Asymmetry for Constitutional Law is a form of state organization where territorial units with political autonomy enjoy a differentiated constitutional treatment, legitimized for the positive recognition of having different types of singularities (linguistic, juridical, fiscal) with respect to the other units of the State.

The main aftermath of asymmetry is the qualitative intensification of powers of one unit without reducing the powers of the others, *ad intra*, and the reflection of these singularities in the state institutions and intergovernmental relations, *ad extra*.

A proper asymmetrical Constitution must include limits to the positive asymmetries regulated by it. Because asymmetry is not less essential to federalism than symmetry, it is basic to strengthen the stability of the system from the periphery. The main

limits of asymmetries are equality and solidarity.

122 Description: How Is Asymmetry?

We wish to emphasize four features of asymmetry: first, singularity. The root of any asymmetry has to be a differential fact that must not be shared with the rest of the territorial units. Second, identity, a difference is not enough to speak about asymmetry. It is considered that an asymmetrical element is the channel to express the 126 demands of citizens and its bond of union; Third is gradual implementation and 127 flexibility. We could use asymmetrical arrangements according to the variety of situations that we could face. In other words, it could simply create more problems than solutions, and it could be disastrous. In the development of policies or legislation according to an asymmetrical pattern, it is important to have some degree of flexibility within the constitutional system. Last feature is the instrumental nature reflected in the Constitution. Linked to the essence of asymmetry, we stress its 133 subsidiary feature, subordinated to fill other values and principles regulated in the 134 Constitution and the reasons it was adopted basically—unity and stability. 135

The implementation of asymmetrical arrangements implies different measures concerning legislative powers, functions, distinct administrative status, Civil Law, Fiscal powers, representation in national parliament, reservations of posts in the national executive, language, distinct party system, religion, or symbolism (Keating 1998: 196).

141 Typology: How Many Asymmetries?

136

137

140

142 "Among the several states in a federal union, cultural, economic, social, and 143 political factors combine to produce variations in the symbiotic connection between AU4

AU5

those states and the system" (Tarlton 1965: 861). Those types of factors would be 144 described as preconditions to asymmetry. We could simplify those types of 145 preconditions of asymmetry in socio-economic and cultural-ideological aspects, 146 AUG If we consider them separately, they only constitute a test of the differences that 147 exist in a plural political organization, especially "federal systems". We need to add 148 the features that characterize asymmetry (singularity, identity, gradual implementation, flexibility and instrumental nature reflected in the Constitution) in order to 150 consider them as asymmetrical.

Focusing only on asymmetries, we could distinguish different types from a 152 conceptual distinction that could be useful for a practical analysis:

The first type is *de iure* and *de facto* asymmetries. The former refers to those 154 asymmetries formally entrenched in constitutional level and in other types of laws, 155 i.e., in the Spanish case, Statutes of Autonomy, so that territorial units are treated 156 differently by the lawmaker. De facto asymmetries refer mainly to political practice 157 or intergovernmental relations where asymmetrical preconditions are reflected. One 158 of the most important de facto asymmetry is the existence of different territorial 159 units, according to the size or the population of each unit. Those preconditions produce a diversity of factors of power in every State and reflect, in the perception 161 that everyone has of the others, supremacy and, on the other side, fear and distrust 162 of the less powerful units.

Very close to the former category, Watts has distinguished political and constitutional asymmetries. Political symmetry, which is a common feature in all federal 165 systems, refers to relative influence of the various constituent units within a 166 federation that arises from the impact of cultural, economic, social, and political 167 conditions. Constitutional asymmetry implies the constitutional assignment of 168 different powers to different constitutional units, which is not such a common 169 feature in many federal systems (Watts 1999: 63).

Structural and relational asymmetries are the result of considering the scope 171 where they are implemented. Structural asymmetries are the result of a static 172 analysis of a plural State and refer to the differentiated position of the territorial 173 units due to different factors like population, race, culture, religion . . . From those 174 conditions it has determined a singular position of those territorial units in the State 175 that affects decisively the general policy, i.e., elections, fiscal policy ... Relational 176 AUT asymmetries are the consequence of projecting those structural asymmetries ad 177 extra. They determine the special status of a territorial unit, i.e., the bilateralism in 178 the relations between the center and those States or Regions.

The different degree of asymmetrical outcomes has generated quantitative types of asymmetry. For instance, a Constitution could provide an asymmetric assignment of powers to the various territorial units to increase provincial or regional autonomy. On the other hand, a Constitution, a subconstitutional law, or a political decision could establish an increase in national or federal powers over specific territorial units for some specific functions, i.e., very expensive powers like health 185 care or education. This was a claim of several Spanish Autonomous Communities (i.e., Valencia, Madrid, and Murcia), sustained from summer of 2011. The consideration of Autonomous Communities as responsible for the crisis, and not as 188

151

153

170

179

189 victims, has forced that situation. Nonetheless, this is not new because in 2009, 190 Canary Island proposed to give back to the State the autonomous power over 191 immigrant children. Times of crisis and economic difficulties are times for rolling 192 back to the State.

Finally, the existence of asymmetries, which could be described as transitory or permanent, is explained according to the circumstances of acceptance or refusal that generate the integration of differentiated elements inside the State. Time is the key question in these types of asymmetries. The different ways of reaching autonomy in Spain is an excellent example.

The permanent asymmetries are entrenched in the Constitution or at a subconstitutional level, and its aftermath is to define the system qualitatively.

200 Asymmetric Federalization in Spain: Main Challenges

According to the brief theory assessments about asymmetry that we have done in the first chapter of this article with a dogmatic presentation, we will try to change the perspective, adopting a practical point of view and paying attention to the situation of Spanish decentralization. To complete that objective, we have chosen a dynamic method consisting of expressing the main issues through a series of dilemmas, according to a dialectic way of thinking. The result of this proposal is reflected in the last chapter.

208 Autonomism Versus Federalism: Spanish Virtual Federalism

The first dilemma that we propose reflects the tension between *status quo* and the future: preserve the success of the existing autonomous system (more or less workability) *versus* the desire to adopt a federal system.

The long shadow of federalism has been projected over our State with different degrees of intensity from the same moment of the creation of the Spanish Autonomous System. However, we must recognize that the unique substantive reference to federation in the Spanish Constitution of 1978 is negative—sec. 145.1: "Under no circumstances shall a federation of Autonomous Communities be allowed". Nevertheless, it is very common that Spain, especially for foreign academics, was considered federal (Elazar, Watts 1999, Gagnon). According to Elazar's description of federalism, "self-rule and shared rule", Spain could be considered a federation or a "federation-in-the making" (Palermo et al. 2010: 12) or a protagonist of an "unfulfilled federalism" (Beramendi and Máiz 2004).

Different substantive features of our system sustain that consideration: (1) We have a system of shared powers (secs. 148 and 149). (2) The process of preparing Statutes of Autonomy followed a covenant pattern with a keenly felt federal nature, especially according to section 151.2. (3) This federal nature is strengthened when

222

224

8UA

AU9

we pay attention to LORAFNA, a Statute of Autonomy especially endorsed for 226 Navarra Foral Autonomous Community, (4) The first final clause for closing the 227 system of shared powers is very close to a federal proposal (sec. 149.3): "Matters 228 not expressly assigned to the State by this Constitution may fall under the jurisdic- 229 tion of the Autonomous Communities by virtue their Statues of Autonomy". (5) The 230 prevalence clause (sec. 149.3) "State, whose laws shall prevail". (6) The system for 231 controlling Autonomous Communities established by the Constitution is based on 232 legal principles of jurisdiction, sec. 153—"Control over the bodies of Autonomous 233 Communities shall be exercised by: (a) The Constitutional Court, in matters 234 pertaining to the constitutionality of their regulatory provisions having the force 235 of law. (b) The Government, after the handing down by the Council of State of its 236 opinion, regarding the exercise of delegated functions referred to in section 150, 237 subsection 2. (c) Jurisdictional bodies of administrative litigation with regard to 238 autonomic administration and its regulations. (d) The Auditing Court, with regard 239 to financial and budgetary matters". (7) Final substantive feature is the Autonomous 240 Communities' participation in State decisions through the Senate (sec. 69) and 241 through legislative process (secs. 87.2 and 109) or in the planning of general 242 economic activity (sec. 131.2).

Readdressing these characteristics, we can sustain that Spain is a "virtual federal 244 State", according to the meaning of virtual, "almost or nearly as described, but not 245 completely or according to strict definition". So we could dissert about "the federal 246 appearance of the Spanish Autonomous system". The hitherto backward-looking 247 review of Spanish decentralization leads us to the next step. We will try to draw the 248 main steps to become a fulfilled federation, the federal transition in Spain.

243

249

262

From a formal point of view, we have two options: a constitutional reform or a 250 constitutional implementation in a federal sense, federal reform versus federal 251 mutation (according Constitutional Law classic term). The former option will 252 lead us to follow the ruled process fixed in Title X of Spanish Constitution, "too 253 easy" if we pay attention to the last reform of sec. 135 against what scholars have 254 sustained for a long time. It is important to introduce the reform of this title, 255 including the Autonomous Communities participation in future constitutional 256 changes. The latter option would consist of interpreting the Constitution and the 257 States of Autonomy in a federal way (deconstitutionalization). This option has been 258 reinforced in the VIII and IX Legislatures (2004-2008/2008-2011) with the reforms of seven Statutes of Autonomy (Valencia, Aragon, Illes Balears, Catalonia, Andalucia, Castilla and León, and finally, Extremadura) and by the absence of a 261 consensus between the major political forces in Spain.

In a material perspective, the first proposal is to achieve a global consensus, with 263 the same degree of support that the Constitution of 1978 has got. That substantial change would have to include, at least, the following topics: identifying the federal States and reforming the Senate in a symmetrical (USA pattern) or an asymmetrical way but never dissymmetrically. This means to give a qualitative reception of 267 differential facts, but never in a quantitative way. The essence of democracy is to 268 change quantitative items, number of votes into qualitative decisions or policies. 269

A transparent and stable system of intergovernmental relations and the inclusion of plural symbols in the State (plurinationalism) should be key elements in this reform.

Three basics steps in that transition would be:

27/

First, to create a federal culture. The main target is to prepare civil society to assume the values of federalism connected to stability and unity. Political forces must communicate these ideas to the citizens in order to build a leadership culture linked to federal ideas. It would be basic that federal proposal, federal culture would be able to gain the same support that, currently, nationalist culture enjoys;

Second, to specify the main characters and the main sceneries of the federal evolution in Spain. An advanced Spanish federal map would be focused on asymmetry, the union of a functional federalism, and a nationalist federalism, in a redefinition of the current autonomous system where the differences would be minimized and linked only to real differential facts. With the slogan of "rolling back the States", we would try to underline the advantages of recovering the common features of the central autonomous communities with a protagonist of national territories. We are at the moment when welfare of citizens must prevail. It would have to fight with the strong desires of self-determination that we find in some autonomous communities and the lack of confidence in federalism from the central autonomous communities. The main challenge is making asymmetry workable and fair, so we have to know the limits of asymmetry;

Third, to translate that proposal to a legal challenge, especially at the constitutional level. The last point in this journey towards a Federal Spain is to consolidate it at constitutional level and, from the point of view of its legitimacy, with the same high degree of support that the present Constitution has enjoyed since its approval in 1978.

To federalize Spain does not mean to weaken it, neither does it mean to open the door to disintegration or secession. Federalism means to stress union in a noncentralized way. The resource of asymmetry is a tool for achieving harmonization, for managing the conflict; asymmetry is not an end in itself.

The following dilemmas should illustrate more details of our proposal. First, the map of federal implementation could be a mixture of two types of federalism (functional federalism and nationalist federalism); the result would be an asymmetrical federalism. Second, the major problem in Spanish decentralization is the combination between equality and asymmetry. Third, here is a warning about the main risk of the process: the proliferation of elements of divergence.

805 National Federalism Versus Functional Federalism

In an attempt of sketching the map of a future scene of a federal Spain, with the only purpose of stimulating a debate on this issue, we will not advocate a particular model. Our line of reasoning is to propose a global idea that reflects our aim of searching for a workable proposal. The limit is not to perpetuate and exacerbate old problems and, at the same time, not create new ones.

The fundamental issue is to link those parts of Spain with a strong nationalist 311 feeling with the rest of Spain (ROS) that lacks this feeling, but at the same time they 312 do not want to lose the advantages of living in a decentralized system in terms of 313 democracy and social rights.

With the aim of accommodating linguistic, civil law, and fiscal powers, we could 315 demand a federal pattern for the peripheries that support demands for autonomy, a 316 nationalist federalism.

317 AU10

The rest of Spain could enjoy a functional federalism whose core elements were 318 an efficient policymaking. They look for a basic equal status for citizens. These 319 introduce the topic of equality and asymmetry. A functional federalism, especially 320 in times of crisis, means a reduction of bureaucracy and institutions. At the same 321 time, the cooperation between territories must increase in order to avoid superfluous 322 duplication. Of course, intermediate administrative levels between citizens and 323 states must be reduced or disappear.

Asymmetry Versus Equality

325

329

330

331

332

334

343

348

324

Diversity is inherent to each process of decentralization, and it is not necessarily negative, but it can cause the risk of unequal treatments among Spanish citizens. The risk of inequality can be easily understood by testing different policies, i.e., health policy, education, or civil servants' salaries.

One of the most important issues in a federal State is to clarify what equality means. Can we talk about the same equality in a unitary State or in a federal State? What happens with equality in asymmetric federations?

There are two references for comparing, constituent units and citizens, and two 333 conceptualizations of equality, arithmetic equality and geometric equality.

On the one hand, arithmetic equality postulates absolutely equal treatment under 335 the law. On the other hand, geometric equality requires differentiation of treatment 336 according to real differences. This was Plato's main theory. If we apply this theory 337 to constituent units, under an arithmetic equality all these units would be considered 338 absolutely equal under the law. If we differentiate the legal status between them 339 according to real differences, such as territorial size, population, tradition, language, 340 religion, we should apply a geometric concept of equality. The justice of this 341 application depends on the reality of these differences and on the limits to the 342 consequences of the assignment of that singular status.

In the case of individuals, we have to reinforce the jurisprudential concept of 344 "fundamental juridical positions" (STC 37/1987, FJ.10). That cryptic expression 345 refers to the heart of equality, its essence. This is the only way for making that 346 concept compatible with asymmetry. In that case, there is enough room for 347 differences but not for discriminations among citizens.

Connected to the study of equality, we have to take a look at the interesting 349 question of its perception. First of all, asymmetry can cause grievances among 350 citizens. A demand for symmetry would be necessary for counterbalancing the 351

352 situation. It is quite common that a phenomenon of policy contagion happens. It 353 means that policy choices made in one territorial unit may be copied in the rest. This 354 could lead to a surrealistic situation, like we will see in the next paragraph, when the 355 goal of copying other Autonomous Communities is only *per se* an asymmetric 356 element (if you have a proper language, me too).

357

358

359

361

362

363

364

365

Another very important issue linked to equality and asymmetry is that there is a dilemma with respect to the distribution of resources and the way the territorial units are financed. The richest units perceive that they subsidized decentralization. This is the case of Catalonia that has been clearly reflected in the amendments to section 135 of the Constitution in its recent reform. Amendment 12 signed by the Catalan Group: "The State will ensure that under no circumstances will alter previous positions per capita contribution to gross domestic product by each Autonomous Community over the final positions in disposable income per capita adjusted for prices" (Official Bulletin of the Congress of Deputies, 05/09/2011).

Catalonia, Western Australia, and a long list of federal States feel exploited as a cash cow. Their usual answer is to propose to secede from Spain, Australia ... because of the high burden they had carried in financing poorer units. In Spain, we have on the table for the new Legislature the proposal of "Catalan Fiscal Covenant", similar to "Basque Country Concierto" and "Navarra Convenio". This is a proposal that can be included in a type of federalism that Watts called "fend-for-yourself" (Watts 1999: 45); this is a clear root of a pathology of federalism. We have to remember again the two clear limits to asymmetric federalism: unity and solidarity.

375 Asymmetry for Every One Versus Designed Asymmetries

The formula of "Coffee for everyone" has been one of the most democratic elements of Spanish decentralization, also one of the most criticized. Current preoccupation is the adaptation of this famous slogan to an asymmetrical context, "asymmetries for everyone."

380 If someone checks one of the recent reformed Statues of Autonomy, i.e., Castilla and León, LO 14/2007, 30th November, it will be evident that there are plenty of 381 asymmetrical references, even in the traditional center of Spain. So we could find 382 singular historical reasons of the Autonomous Community; different proper 383 languages, "leonés", "gallego" (sec. 5); a Charter of Rights for the Castilian and 384 385 León citizens (Title I); new territorial organizations inside the Autonomous Community, with a differential fact (El Bierzo sec. 46.3); and the legal recognition of 386 internal plurality that determines the need for phasing out economic and demo-387 graphic imbalances between the provinces and territories of the Autonomous 388 Community (D.A. 2.°). 389

This is not the proper way to get to federalism but an option for a failed formula.

A federation is not a mechanism for manufacturing asymmetries; this path will lead to a disaster; it will start to crumble.

Nevertheless, what can we do when political forces, especially those from 393 periphery, are tightening rope and when the rest of Spain (ROS), in an effort not 394 to be outdone, triggers further demands (i.e., Camps clause)? The strengthening of 395 the integrative function of the state and horizontal cooperation are the main 396 solutions.

397

403

404

406

415

424

We have to add another challenge, the dilemma between an executive federalism 398 and a participatory federalism. The recent Statutory reform process and the constitutional reform have shown the absence of popular participation, e.g., referendum 400 on the Catalan Statute of Autonomy held on 18th June 2006, with a 49 % participation. Federalism without the counterbalance of the people would be an autistic 402 federalism.

Proposals and Final Reflection

Following this reasoning and applying it to a brief discussion of the quality of 405 asymmetrical federalism in Spain, certain interesting conclusions are reached:

Firstly, we could develop the asymmetrical federalism theory, adding the category of dissymmetry. Dissymmetry will be applied to those situations where a 408 proportional or symmetrical situation was broken in an anomalous or faulty way 409 (i.e., for political pressures, the threat of secession or self-determination, the 410 confusion between powers—because you have different culture, religion, language 411 ... you could reach more powers in economy, social services, or foreign policy or 412 more representatives in State institutions). The risk of falling into a pathological 413 federalism, founded in dissymmetries, is too high. All deceived federations could 414 corroborate this premise.

Secondly, we could suggest a list of stages for what we have called "the Spanish 416 transition to federalism": First is to create a federal culture. The main target is to 417 prepare civil society to assume the values of federalism connected to stability and 418 unity. Political forces must communicate these ideas to the citizen in order to build 419 a leadership culture linked to federal ideas. It would be basic for that federal 420 proposal that a federal culture would be able to gain the same support that, 421 currently, nationalist culture enjoys. It is crucial to emphasize the importance of 422 limits. The essence of federalism—unity and self-government—is not compatible 423 with secession.

Second is to specify the main characteristics and the main sceneries of the 425 federal evolution in Spain. An advanced Spanish federal map would be focused 426 on asymmetry, union of a functional federalism, and nationalist federalism, in a 427 redefinition of the current autonomous system where the differences would be 428 minimized and linked only to real differential facts. With a slogan of "rolling 429 back the States", we would try to underline the advantages of recovering the 430 common features of the central autonomous communities without forgetting the 431 importance of national territories. We are in the moment when welfare of citizens 432 must prevail. It would have to fight with the strong desires of self-determination that 433 we find in some autonomous communities and the lack of confidence in federalism from the central autonomous communities. The main challenge is making asymmetry workable and fair, so we have to know the limits of asymmetry.

Third is to translate that proposal in a legal challenge, especially at the constitutional level. The last point in this journey towards a Federal Spain is to consolidate it at a constitutional level. It must have the same high degree of support that our present Constitution has enjoyed since its approval in 1978.

Our last reflection refers to how difficult it is to find comprehensive answers to the questions raised by asymmetrical federalism. We cannot stop trying to get them. Our dilemmas have tried to contribute to this effort.

"The concept of federalism has been a major panacea in Western political thought for an incredible range of problems ... Whenever events have seemed to demand cooperation and coordination, while interests and anxieties have held out for the preservation of difference and diversity, the answer has almost unfailingly been some form of federalism" (Tarlton 1965: 874). In the future, it is possible for Spain to become federal, and this federalism could be an asymmetrical federalism.

450 References

AU11

- 451 Agranoff, R. (ed) (1999). Accommodating diversity. Asymmetry in Federal States. Nomos.452 Baden-Baden.
- Beramendi, P., Máiz, R. (2004). Spain. Unfulfilled federalism (1978–1996). In: Amoretti, U.,
 Bermeo, N. (eds.) Federalism and territorial cleavages. John Hokins University Press.
 Baltimore and London.
- 456 Burgess, M. (2006). Comparative federalism. Theory and Practice. Routledge. London.
- 457 Keating, M. (1998). What's wrong with asymmetrical government? Regional & Federal Studies 8: 458 195–218.
- 459 Palermo, F., Zwilling, C., Kössler, K. (2010). Asymmetries in Constitutional Law. Recent 460 developments in Federal and Regional systems. Eurac. Bolzano.
- 461 Seijas Villadangos, E. (2003). Configuración asimétrica del sistema de Comunidades Autónomas.
 462 Universidad de León, León.
- 463 Tarlton, Ch. D. (1965). Symmetry and asymmetry as elements of federalism: a theoretical speculation. The Journal of Politics 27.4: 861–874.
- 465 Tarlton, Ch. D. (1967). Federalism, political energy and entropy: implications of an analogy.
 466 W.P.Q. vol. XX, n.° 4: 866–874.
- 467 Tarlton, Ch. D. (1971). The study of federalism: a skeptical note. In RIEDEL, A., (Ed.), New Perspectives in State and local government. Xerox College Publishing, Waltham: 97–100.
- 469 Watts, R. (1999). Comparing Federal Systems. McGill-Queen's University Press, 1999.

Author Queries

Chapter No.: 44

Query Refs.	Details Required	Author's response
AU1	This was orignally a fragment and was therefore merged with the prior sentence. Please check if appropriate.	
AU2	The two questions were modified to turn them into complete sentences. Please check if acceptable.	×
AU3	Please check if the change made here is correct.	-0,
AU4	Please check if the modification done here does not alter the meaning intended by the sentence.	
AU5	Kindly check if the alterations done here did not change the meaning of the sentence.	
AU6	Please check if the insertion of the word 'aspect' here is appropriate.	O *
AU7	Please check if the change made in this sentence did not deviate from the true meaning of the sentence.	
AU8	Elazar and Gagnon are cited in text but not present in list. Please check.	
AU9	The citation "Palermo 2010" (original) has been changed to "Palermo et al. 2010". Please check if appropriate.	
AU10	Please check if the merging of this phrase to the previous clause is acceptable.	
AU11	Burgess (2006) is not cited in text. Please cite it or delete it from the list.	