

# Performance Indicators for Sun-Tracking Systems: A Case Study in Spain

Miguel de Simón-Martín, Cristina Alonso-Tristán, Montserrat Díez-Mediavilla

Solar and Wind Feasibility Technologies Research Group, University of Burgos, Burgos, Spain  
Email: [miguel.simon@unileon.es](mailto:miguel.simon@unileon.es), [catristan@ubu.es](mailto:catristan@ubu.es), [mdmr@ubu.es](mailto:mdmr@ubu.es)

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## Abstract

Current PV cells technology takes advantage mainly from beam radiation. In this context the sun-trackers are such devices for efficiency improvement. On the other hand, projected shadows between devices make mandatory to increase the distances between mounting systems. Thus, the land's capacity for mounting PV panels can be dramatically decreased. This fact conducts us to wonder where the optimum efficient point is. First, in this paper different types of sun-tracking systems are classified according to the movement they perform (cinematic classification). Further, three real PV installations—fixed, horizontal-axis tracking and dual-axis mount tracking—located in the same geographical area in Spain (they are approximately under the same weather conditions) are analyzed. These installations have been studied in order to establish which one is the most efficient and affordable—Specific Energy Production (*SEP*) and Performance Ratio (*PR*) analysis. PVGIS solar radiation estimate tool has been used for comparing the theoretical radiation potential on each plant. The land requirements have been considered in the analysis of the Ground Cover Ratio (*GCR*) and the Surface Performance Ratio (*SPR*). Moreover, comparing three main financial indicators let us carry out a financial study: Payback Time (*PBT*), Net Present Value (*NPV*) and Internal Rate of Return (*IRR*). In the case study, final annual energetic results demonstrate that the dual-axis plant shows a relevant *SEP* advantage, but if we take into account the land occupied for this sort of devices we find much more profitable the horizontal-axis sun-tracking system, with a *SPR* value 4.24% higher than the fixed system we have studied. Its *PBT* is also a 22% lower than the dual-axis tracking installation.

## Keywords

Sun-Tracker, Cinematic Classification, PVGIS, GCR, SPR, PBT, NPV, IRR

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## 1. Introduction

According to [1], when radiation traverses a medium, each molecule or particle attenuates energy. Attenuation is a function of the type and the number of molecules in the path of a solar ray. It results evidently that the number of molecules or particles a solar ray strikes before reaching the ground is related to the distance traversed by the ray. Thus, beam radiation is more energetic than diffuse or reflected radiation, and that fact boosts the photovoltaic phenomena (the solar radiation can provide the enough energy to overcome the energy gap in the semiconductor material).

A sun-tracker is a machine that is designed as a mounting for photovoltaic panels so that they track the sun in such a way that the incident angle of solar radiation with the panels is as less as possible, thereby increasing energetic production with respect to fixed systems. Many types of solar trackers exist, which vary in terms of cost and complexity [2].

We can classify the sun-tracker mechanisms according to:

- 1) Their control system;
- 2) The movement they perform.

According to the control system, sun-trackers are usually divided into active controlled sun-trackers and passive controlled sun-trackers [2]. The active controlled sun-trackers use motors and mechanical systems to transmit them the correct movements for sun-tracking. These movements are commanded by a controller which can be based on photosensitive cells (which can detect the direction of the maximum light flux) or chronological systems [3] [4]. These systems are precise. But, on the other hand, they are complex and with high rates of maintenance. Due to the motors consuming energy, continuous movements are commonly prevented. It is preferred to use step movements to save energy. Their associated costs are related to their precision accuracy. The passive controlled sun-trackers can be based on memory shape alloys or, more often, in the use of two cylinders and a liquefied gas with a low ebullition point. These kinds of systems are quite imprecise and they are not appropriate for certain applications (as for example concentration photovoltaic systems) [5] [6].

On the other hand, the different models of trackers can be classified according to the movements they perform, in the following way:

- 1) Single-axis polar-mount trackers. This kind of trackers are devices with a fixed N-S axis set at an appropriate tilt angle which acts as the rotation axis of the photovoltaic panels (see **Figure 1(a)**).
- 2) Horizontal-axis trackers. They have a horizontal axis allowing seasonal tracking of the sun (see **Figure 1(b)**).
- 3) Vertical-axis or azimuth solar trackers. In this case, the panel array rotates about a fixed vertical axis for daily tracking (see **Figure 1(c)**).
- 4) Dual-axis solar trackers. These devices offer better performance by enabling daily (E-W) and seasonal (N-S) solar tracking. They can be based on different configurations: polar-mount, rotating platform or parallel kinematics (see **Figure 1(d)**).

There is no ideal tracker device for all possible installation cases. It is needed to take into account the advantages and disadvantages for each tracking policy [7]-[10].

Of particular interest of this study, according to [11] more than 1/3 of the installations in Spain have sun tracking: 24% have 2-axis tracking and 13% have 1-axis tracking. The rest are fixed systems.

## 2. Material and Methods

To analyze the real performance of sun trackers in Spain, we have collected data along 4 years (2008-2011) about energy production of 3 real PV plants located in Spain. Data sets have been adequately analyzed and filtered in order to prevent outliers or deviations from the real behavior. It should be noticed that the first year data correspond with the first year life of the installation, which is not really representative due to several malfunctions and problems it occurred until normal operation. Thus, data from first year have been pondered adequately.

All the analyzed plants are located very close and all have near the same configuration options (PV arrays configurations, wiring distribution, inverters...) to compare the results of the different tracking systems. PV panels are not exactly the same but their electrical characteristics and Performance Ratios in Standard Test Conditions are quite similar (see **Table 1**).

Moreover, we have taken into account the estimated global radiation for each PV plant thanks to a solar radiation database: Photovoltaic Geographical Information System (PVGIS) [12], which is one of the most commonly used nowadays. PVGIS incorporates a solar radiation database and gives climatological data of Europe. This system

**Table 1.** PV plants parameters.

Parameter	Plant		
	P1	T1	B1
Longitude	4°30'W	4°19'W	4°03'W
Latitude	42°00'N	42°02'N	42°12'N
Peak Power	38.88 kWp	101.01 kWp	14.28 kWp
PV cells material	Mono-crystalline	Mono-crystalline	Mono-crystalline
Mounting	Fixed	Horizontal-axis	Dual-axis
Modules	216 units	546 units	51 units
Module surface	1.652 m <sup>2</sup>	1.258 m <sup>2</sup>	2.000 m <sup>2</sup>
PV surface proportion	85%	85%	85%
Performance Ratio (STC)	12.20%	14.70%	14.00%



(a)



(b)



(c)



(d)

**Figure 1.** Classification of sun trackers according to the movement they perform (turning axes are highlighted). (a) Polar-mount sun-tracker; (b) Horizontal-axis sun-tracker; (c) Azimuth sun-tracker; (d) Dual-axis sun-tracker (polar-mount).

makes it possible to calculate long-term average values and daily profiles of the irradiation on PV modules [7].

PVGIS needs data on solar radiation in order to make estimates of the performance of PV systems and to do the other calculations possible in the web application. There exist a number of different sources of solar radiation data, but none of them are perfect, so it is important to understand the strengths and weaknesses of each data source. In the new version of PVGIS (autumn 2010), it is included a choice of solar radiation databases for some regions. The two main sources of data on solar radiation on the earth's surface are [7]:

- 1) Ground measurements.
- 2) Calculations based on satellite data.

In this case we preferred the New PVGIS database based on satellite measurements because it includes data from the same time period (2008-2011) we analyze [13].

It is important to notice that the PVGIS system does not allow the user to simulate a horizontal-axis tracker directly. For that case we simulated the installation as a fixed one with different tilting angles from 15 deg. to 55 deg. with 5 deg. step, such as the real installation currently works.

### 3. Results

In this section we are going to compare the average behavior of the different PV plants described before.

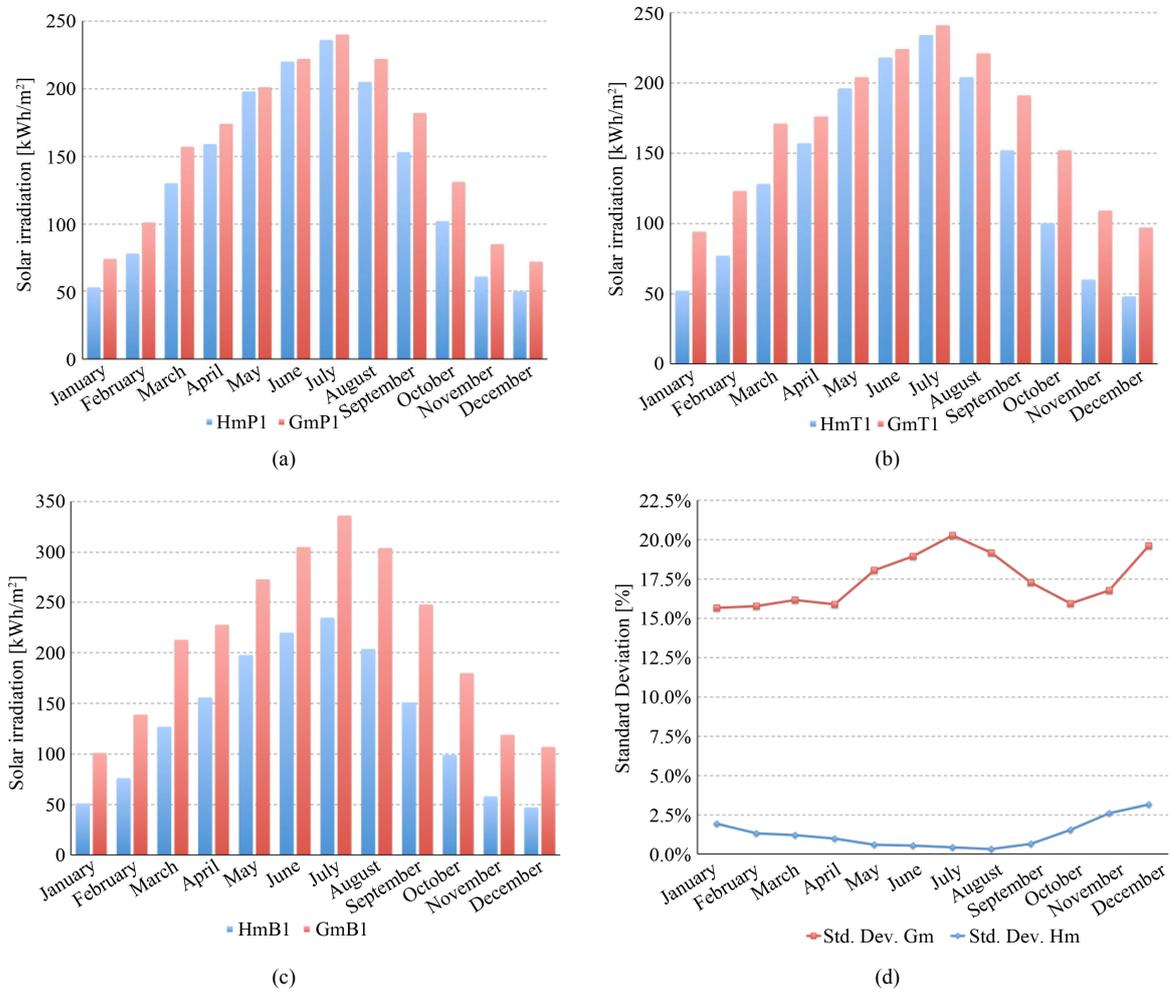
#### 3.1. Production Analysis

**Table 2** shows the estimated global radiation obtained from PVGIS on a horizontal surface ( $Hm$ ) and on the generator plane ( $Gm$ ) recorded from each PV plant. The  $Gm$  value is always higher than the  $Hm$  value because the sun-tracking advantage affects it. **Figures 2(a)-(c)** shows the differences between both datasets and **Figure 2(d)** shows the standard deviation values between the 3 locations.

It can be noticed that standard deviations are quite small in comparison with the average value for the horizontal surface dataset (less than 3% in all cases). On the other hand, standard deviation for the  $Gm$  value is quite higher due to it implies the sun-tracking improvement. According to these data the dual-axis tracking plant can gather 692 kWh/(m<sup>2</sup>·year) more than a fixed one; and the horizontal-axis one can gather about 242 kWh/(m<sup>2</sup>·year) in advance.

**Table 2.** Estimated global radiation on an horizontal surface ( $Hm$ ) and on the generator plane ( $Gm$ ).

Month	$Hm_{P1}$	$Hm_{T1}$	$Hm_{B1}$	$Gm_{P1}$	$Gm_{T1}$	$Gm_{B1}$
	[kWh/m <sup>2</sup> ]					
January	53	52	51	74	94	101
February	78	77	76	101	123	139
March	130	128	127	157	171	213
April	159	157	156	174	176	228
May	198	196	198	201	204	273
June	220	218	220	222	224	305
July	236	234	235	240	241	336
August	205	204	204	222	221	304
September	153	152	151	182	191	248
October	102	100	99	131	152	180
November	61	60	58	85	109	119
December	50	48	47	72	97	107
Average	137	136	135	151	167	213
Year	1650	1630	1620	1861	2003	2553



**Figure 2.** Estimated solar radiation on a horizontal plane (*Hm*) and on the generator plane (*Gm*) in (a) P1, (b) T1 and (c) B1 and (d) std. dev.

Energy measurements from each installation are shown in **Table 3**. Original measurements cannot be compared due to the different power sizes of each plant. Thus, we have calculated the Specific Energy Production (*SEP*) and it shows that the most productive system is the dual axis one. **Figure 3(a)** represents the differences between the *SEP* values for each month on each plant in comparison with the fixed system (P1). For the one-axis tracking facility, these differences are greater in spring and autumn, but for the dual-axis system differences are greater in summer.

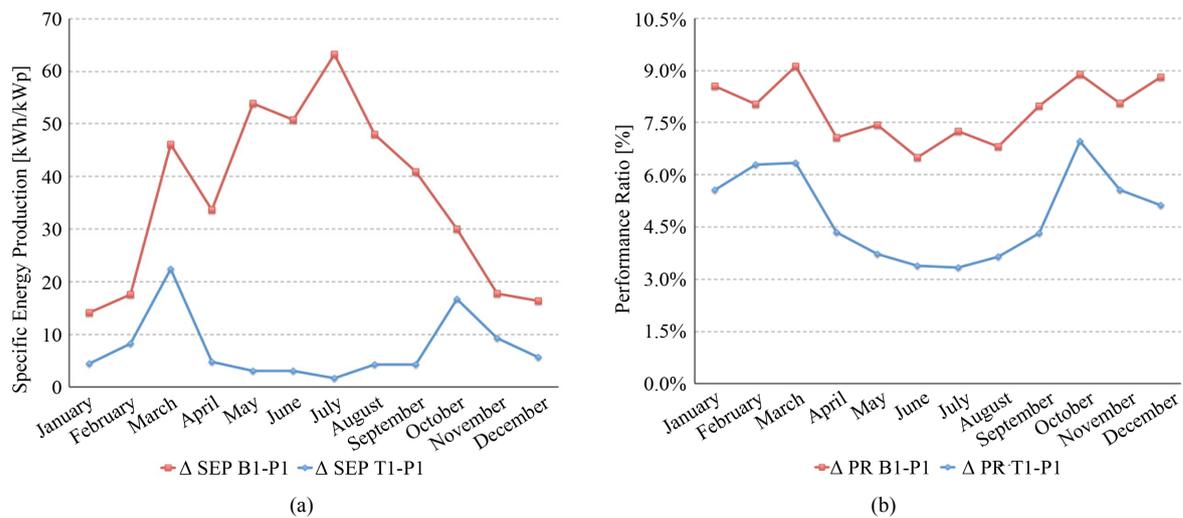
As PV plants have not the same power sizes and they are not located exactly at the same place (although it has been seen that the standard deviation for the incident global radiation on an horizontal surface is less than 3% at any case) we must take care about the parameter we compare in order to decide which system is working the best. That parameter, or set of parameters, must be independent from power size and location (incident global solar radiation). The performance ratio (*PR*) described in Equation (1) reaches both conditions. It compares the measured energy production per photosensitive square meter (which is proportional to the installed peak power) and the global radiation on a horizontal surface per square meter (*Hm*) that gathers each PV plant. We do not use the global irradiation on the generator plane (*Gm*) due to it just will give us the electrical performance of the PV panels and it does not depend on the sun tracking.

$$PR = \left[ \frac{Em}{(n \cdot S_{PV})} \right] / Hm = Em / (Hm \cdot n \cdot S) \tag{1}$$

*Em* is the measured energy production [kWh], *n* is the number of PV panels in the installation [units], *S<sub>PV</sub>* is

**Table 3.** Measured energy production ( $Em$ ) and Specific Energy Production ( $SEP$ ) for each analyzed PV plant.

Month	$Em_{P1}$	$Em_{T1}$	$Em_{B1}$	$SEP_{P1}$	$SEP_{T1}$	$SEP_{B1}$
	[kWh]	[kWh]	[kWh]	[kWh/kWp]	[kWh/kWp]	[kWh/kWp]
January	1921	5435	907	49.41	53.81	63.52
February	3150	9007	1407	81.02	89.17	98.53
March	3918	12435	2097	100.77	123.11	146.85
April	5466	14679	2488	140.59	145.32	174.23
May	6227	16476	3056	160.16	163.11	214.01
June	6307	16690	3042	162.22	165.23	213.03
July	6924	18148	3447	178.09	179.67	241.39
August	6261	16701	2984	161.03	165.34	208.96
September	5414	14497	2572	139.25	143.52	180.11
October	3701	11295	1789	95.19	111.82	125.28
November	1600	5087	840	41.15	50.36	58.82
December	1276	3875	702	32.82	38.36	49.16
Average	4347	12111	2083	111.81	119.90	145.87
Year	52163	145326	25002	1341.64	1438.73	1750.84

**Figure 3.** Differences between the  $SEP$  values (a) and  $PR$  (b) of plants T1 and B1 respect to plant P1.

the photosensitive region of each PV module [ $m^2$ ] and  $Hm$  is the global radiation on the PV panel [ $kWh/m^2$ ]. Thus, the performance ratio is then obtained unitless.

We can see in **Table 4** the PR value for each month of the year corresponding to each PV plant. Differences respects to the fixed system (P1) are shown in **Figure 4(b)**.

### 3.2. Surface Performance Ratio

**Table 5** analyzes the effect of the surface needed for each sun-tracker system. The area occupied for each system has been determined by applying the procedures described in [9] [14] [15].

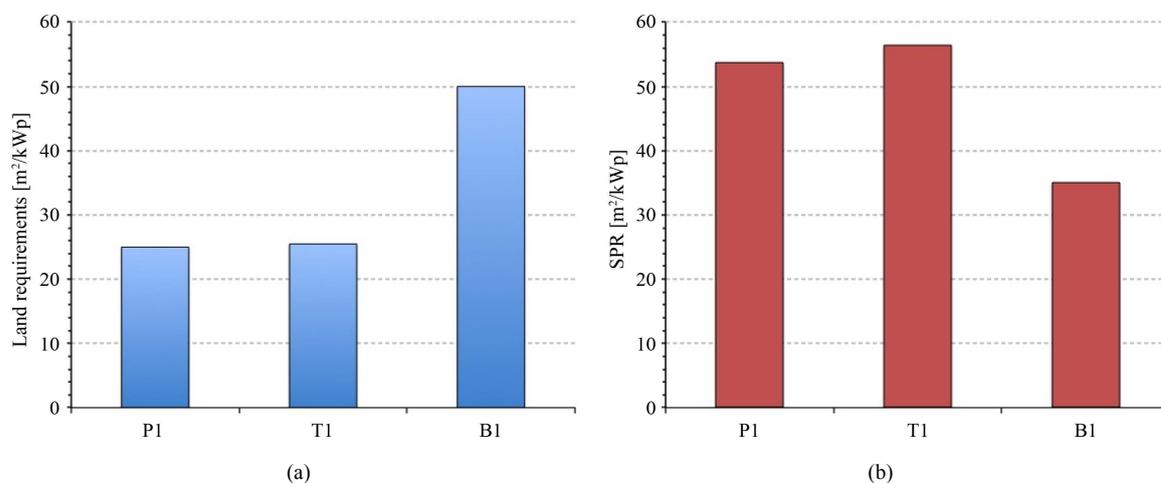
Some authors use a parameter called Ground Cover Ratio ( $GCR$ ) [8] [16], which is defined as the ratio of the

**Table 4.** Performance Ratio (*PR*) for each PV plant along an average year.

Month	<i>PR</i> <sub>P1</sub>		<i>PR</i> <sub>T1</sub>		<i>PR</i> <sub>B1</sub>	
	[-]		[-]		[-]	
January	11.95%		17.53%		20.51%	
February	13.31%		19.61%		21.35%	
March	9.94%		16.29%		19.04%	
April	11.33%		15.68%		18.40%	
May	10.37%		14.10%		17.80%	
June	9.45%		12.84%		15.95%	
July	9.67%		13.00%		16.92%	
August	10.07%		13.73%		16.87%	
September	11.67%		15.99%		19.65%	
October	11.96%		18.94%		20.84%	
November	8.65%		14.22%		16.70%	
December	8.41%		13.54%		17.23%	
Average	10.46%		14.93%		17.80%	

**Table 5.** Surface performance ratio analysis.

Parameter	Plant		
	P1	T1	B1
Land surface [m <sup>2</sup> ]	972	2 576	714
m <sup>2</sup> /kWp	25.00	25.50	50.00
<i>GCR</i> [-]	2.72	3.75	7.00
<i>SPR</i> [kWh/m <sup>2</sup> ]	55.86	58.23	35.29
<i>SPR</i> Ratio [%]	100.00	104.24	63.18



**Figure 4.** (a) Land requirements comparison; (b) Surface Performance Ratio for each system.

PV array area to total ground area for the system. The higher value the *GCR* has, the better surface exploitation is done [17].

However, we use an equivalent but more representative parameter called Surface Performance Ratio (*SPR*). This has been defined as the product of the Specific Energy Production ( $SEP = Em/P$ ) and the peak power installed per land square meter ( $P/S$ ), as it is shown in the following Equation (2).

$$SPR = Em/P \cdot P/S = SEP \cdot P/S = Em/S. \quad (2)$$

The *SPR* takes into account not only the production improvement of the tracking system, but the larger area it needs for the installation. It should be noticed that the *SPR* value is independent of the power size of the installation.

**Figure 4(a)** shows that the horizontal-axis tracking system needs similar land requirements than the fixed mounting device. On the other hand, the dual-axis sun-tracker needs near the double land per kWp. Therefore the *SPR* for the last kind of tracking has near 40% less value than a fixed system. The horizontal-axis tracker has 4.24% better value (see **Figure 4(b)**).

### 3.3. Financial Analysis

We finally have carried out a financial study including the most commonly used financial parameters for each plant-Payback Time (*PBT*), Net Present Value (*NPV*) and Internal Rate of Return (*IRR*).

For the *PBT* analysis it has been taken into account the real costs of the investment ( $I$ ) [€], the retribution of the produced energy ( $c$ ) [€/kWh] and maintenance and replacement costs ( $m$ ) [€/year]. This value has been calculated with Equation (3),

$$PBT = I/(c \cdot p - m), \quad (3)$$

where  $p$  is the yearly energy production of the installation in kWh/year. Results are shown in **Table 6**.

The investment value in this case involves the final cost of the whole installation, including PV panels, inverters, transformers, wiring, mounting structures or tracking devices, labor costs and taxes. The first line in **Table 6** represents the results for the investment costs per peak power. It also can be seen that the highest costs are associated with the dual-axis system. On the other hand, the fixed mounting installation and the horizontal-axis one have approximately the same costs about 6000 €/kWp. This is due to the installation configuration is near the same and the fixed structure and the seasonal tracker are very similar. The horizontal-axis system is slightly cheaper than the fixed mounting due to the size costs distribution. The costs of investment of the dual-axis tracker installation achieve 8000 €/kWp. Data agree with the average costs of this sort of installations. Energy retribution has been calculated according to [18] and the electrical energy market along the considered time period.

The third line in **Table 6** compares the *PBT* value for the different PV plants including the analyzed costs and the energy production. Results show that a fixed mounting configuration needs about 12 years to return the initial investment, a horizontal-axis one only needs 10 years, but a dual-axis installation requires almost 13 years.

The *NPV* has been calculated for each plant according to Equation (4),

$$NPV = -I + \left\{ R \cdot \left[ 1 - (1+i)^{-n} \right] \right\} / i, \quad (4)$$

where  $I$  is the initial investment [€],  $R$  is the cash flow per considered period [€],  $i$  is the desired interest rate for the investment (opportunity cost) [no units] and  $n$  is the number of periods [years]. Equation (3) can be used only if the cash flows ( $R$ ) are the same for each period. The desired interest rate has been fixed to 3.5% which was the average interest value for fixed-term deposits in 2007-2008 [19]. The study has considered 25 years as the investment period because it is the widely considered useful life for this sort of plants [6].

The *IRR* is the value for  $I$  when the *NPV* comes to zero. As Equation (4) is not an explicit one the *IRR* has been calculated by numerical techniques. Both results for *NPV* and *IRR* for each plant are shown in **Table 7**.

Results from the financial analysis show that the most profitable plant is T1, which has horizontal-axis tracking. The worst investment is the dual-axis installation B1, with a remarkable low *IRR* value. It should be noticed that in line 6 in **Table 7** the Net Present Value (*NPV*) has been normalized dividing by the power size of each plant (Specific *NPV*) in order to obtain a more descriptive parameter. It presents its best value for the T1 plant.

**Table 6.** Payback Time analysis.

Parameter	Plant		
	P1	T1	B1
Investment ( <i>I</i> ) [€]	240,000	600,000	115,000
Specific Investment [€/kWp]	6173	5940	8053
Maintenance ( <i>m</i> ) [€/year]	4000	10,000	2600
SpecificMaintenance [€/kWp-year]	103	99	182
Energy retribution ( <i>c</i> ) [€/kWh]	0.47	0.47	0.47
Payback Time [years]	11.70	10.29	12.57
<i>PBT</i> Ratio [%]	100.00	87.97	107.43

**Table 7.** NPV and IRR analysis.

Parameter	Plant		
	P1	T1	B1
Investment ( <i>I</i> ) [€]	240,000	600,000	115,000
Cash flows ( <i>R</i> ) [€/year]	20,517	58,303	9151
Desired interest rate ( <i>i</i> ) [%]	3.5	3.5	3.5
Number of periods ( <i>n</i> ) [years]	25	25	25
Net Present Value ( <i>NPV</i> ) [€]	98,145	360,925	35,821
SpecificNPV [€/kWp]	2524	3573	2508
Internal Rate of Return ( <i>IRR</i> ) [%]	6.95	8.45	6.15

## 4. Conclusions

**Table 8** collects all the parameters obtained in order to compare the 3 studied installations. *SEP* is higher in spring and autumn seasons for the horizontal-axis tracking plant, but higher in summer for the dual-axis tracking installation. This conducts us to think that, for the studied latitude, azimuth-tracking is more effective in summer and slope-tracking is more relevant in spring and autumn.

*PR* values in tracking plants are always higher than in the fixed one. As expected, *PR* value from the dual-axis plant is always higher in the whole year. Furthermore, it can be observed that major improvements correspond to the spring and autumn seasons for both tracking plants. Dual-axis tracking improves on average almost 3% more than the horizontal-axis tracking and almost 7.5% more than the fixed system.

Analyzing the land requirements through the *SPR* parameter we find out the best result for the horizontal-axis system. Furthermore, dual-axis tracking achieves worse results even than the fixed installation. Thus, although in the case study dual-axis tracking collects more than 30% energy than a fixed mounting system, the excessive land requirements—due to the shadows it projects—makes this system quite less effective. This is the reason why we consider dual-axis tracking in the studied conditions only profitable in concentrated photovoltaic systems (CPVs) or isolated ones.

Finally, according to the carried out financial analysis, we can conclude clearly that, for the case study, the most worthy investment is the horizontal-axis installation. This plant returns the initial investment almost 12% earlier than the fixed one, and about 20% earlier the dual-axis system. This conclusion is also supported by the *NPV* and the *IRR* analysis. The achieved *IRR* value around 8.5% is quite competitive in the investment market. However, we should remember that this analysis has been carried out under the hypothesis that the energy retribution remains constant along the 25 years of expected life for the PV plants. Otherwise, results can be different.

**Table 8.** Parameters for sun-tracking performance analysis.

Parameter	Plant		
	P1	T1	B1
Average Specific Energy Production (SEP) [kWh/kWp]	111.81	119.90	145.87
Average Performance Ratio (PR) [%]	10.46	15.26	17.80
Ground Cover Ratio (GCR) [-]	2.72	3.75	7.00
Surface Performance Ratio (SPR) [kWh/m <sup>2</sup> ]	55.86	58.23	35.29
Payback Time (PBT) [years]	11.15	9.92	12.57
Specific Net Present Value (NPV) [€/kWp]	2524	3573	2508
Internal Rate of Return (IRR) [%]	6.95	8.45	6.15

In the case study, the dual-axis tracking facility shows the best performance from the strictly energetic point of view, but in real practice, when we take into account the land restrictions and the economical profitability, the horizontal-axis tracking plant beats clearly the other systems.

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