

1 Title: **Sperm Subpopulations in Iberian Red Deer Epididymal Sperm and their Changes Through the**  
2 **Cryopreservation Process**

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4 Short title: Sperm subpopulations in red deer epididymal sperm

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6 Key Words: Iberian red deer, cryopreservation, epididymal sperm, CASA, sperm subpopulations, cluster analysis

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21 **ABSTRACT**

22 We have applied a statistical protocol based on principal component analysis, clustering methods and discriminant  
23 analysis for the identification of sperm subpopulations in CASA data. Samples were obtained from the cauda  
24 epididymis of 11 Iberian red deers, and cryopreserved following a standard protocol. Motility by CASA was analyzed  
25 just after sperm recovery, just before freezing and after thawing, and eight motility descriptors for each individual  
26 spermatozoon were recorded. Sperm viability and acrosomal status were also assessed. Subpopulation analysis was  
27 performed in four sequential steps: principal component analysis using the 8 motility descriptors; non-hierarchical  
28 clustering analysis (k-means) using the first two principal components; hierarchical clustering analysis (UPGMA);  
29 and selection of the final number of clusters. Three clusters were obtained for each motility analysis: slow and  
30 non-linear; rapid and linear; and rapid, high ALH, non-linear. We detected variations in the clusters between  
31 treatments (initial, pre-freezing and post-thawed). Indeed, motility increased and linearity decreased in the  
32 pre-freezing analysis. A discriminant analysis isolated three descriptors that were used again in the same statistical  
33 analysis, giving four clusters that resembled the pattern found in the first classification. We also performed a  
34 clustering analysis of the males according to pre-freezing/post-thawed variation of total motility, viability and  
35 acrosomal status. The proportion of the linear subpopulations in the pre-freezing treatment, in both clustering  
36 analyses, correlated positively with post-thawed viability recovery. Our results show that clustering analysis of CASA  
37 data gives useful and practical information that is not obtained by conventional sperm analysis.

## 38 INTRODUCTION

39 The presence of several distinct subpopulations within sperm samples is nowadays widely accepted by the scientific  
40 community. Although the mechanism of formation of these subpopulations and their physiological role are not yet  
41 clear [1, 2], many authors have found that susceptibility to capacitation and fertilizing ability varies depending on the  
42 subpopulation studied [3–5]. Therefore, the development of experimental techniques and statistical analyses aimed at  
43 identifying and isolating subpopulations depending on several characteristics is of great interest, not only because of  
44 the study of sperm biology, but also because of practical and economical reasons [6, 7]. In fact, many sperm  
45 separation methods, such as density gradient centrifugation or the swim-up are based on the isolation of sperm  
46 subpopulations with certain abilities, specially related to fertility [2].

47 Subpopulation identification has been carried out according to very different sperm characteristics, such as  
48 biochemical parameters [8–11], functional tests [12–18] or depending on of sperm morphology [2, 19–21]. These  
49 studies have frequently reported a relationship between detected subpopulations and sperm quality, fertility or its  
50 ability to resist cryopreservation.

51 Improvements in CASA devices have also enabled individual sperm to be distinguished in motility analysis,  
52 and subpopulations characterised by motility descriptors to be identified by means of clustering algorithms. However,  
53 few studies have considered the existence of sperm subpopulations [22], implying that useful information may have  
54 been overlooked. The use of the mean values of motility descriptors oversimplifies the motility analysis, considering  
55 the whole sample as being an homogeneous one. Consequently, its internal variability is not taken into account, thus  
56 impairing the analysis of the relationship between motility and sperm quality, and, ultimately, fertility [6, 23].

57 Many researchers have reached interesting conclusions after carrying out subpopulation studies. A large  
58 number of these studies were performed using the PATN software, a powerful collection of statistical procedures  
59 aimed to the extraction and display of patterns in multivariate data. For instance, Abaigar et al. [22] showed that the  
60 addition of caffeine or bicarbonate or cryopreservation with different extenders altered the subpopulation pattern of  
61 boar and gazelle semen. Another study on gazelle semen [24] indicated that the subpopulation pattern was altered

62 depending on the voltage used during electroejaculation, seminal fraction, body weight and storage time. Thurston  
63 et al. [25] included a multivariate analysis of boar semen, obtaining three subpopulations with different motility  
64 qualities. In general, the analysis performed with this software consisted of a so-called non-hierarchical clustering  
65 step followed by a hierarchical one, which rendered the final clusters.

66 Other researches have used other statistical methods based on less specific software, but not less efficient.  
67 Most of them performed non-hierarchical clustering on the CASA data, using the k-means model, although others [6]  
68 also explored some hierarchical procedures. Amongst the studied species are human [26, 27], common marmoset [6],  
69 stallion [28] and boar [6, 7]. These studies reported some interesting data on sperm subpopulation variations with  
70 regard to resistance to cryopreservation [27], presence of stimulants [6], storage and fertility [28] and between-boar  
71 variability [7].

72 The aim of the present study was mainly to develop a multi-step statistical protocol that would enable the  
73 subpopulation composition of a sperm sample to be determined utilizing the motility data obtained from CASA  
74 analysis. We also intended this protocol not to be dependent on one particular statistical package, but to be easily  
75 portable to any software capable of performing principal component analysis and clustering procedures. We based the  
76 protocol on two successive steps of non-hierarchical and hierarchical clustering procedures, in order to combine the  
77 advantages of both techniques. However, as stated by other authors [22, 28], most motility descriptors are highly  
78 correlated, and present different scales of measure, which are considerable problems when performing certain  
79 statistical clustering methods. Therefore, prior to the clustering steps, we performed a principal component analysis,  
80 reducing the number of descriptors to a few, uncorrelated and standardized, variables (principal components). We  
81 then used a statistical method based on the clustering history of some statistics to determine the more suitable number  
82 of final clusters. Furthermore, a discriminant analysis determined which motility descriptors were the most important  
83 discriminating between the found clusters, and another clustering analysis was performed in order to determine if  
84 reliable subpopulations could be obtained by reducing the initial amount of descriptors.

85 For this study, we used Iberian red deer epididymal sperm, which was analyzed for motility just after being

86 obtained, before freezing and after thawing. Our intention was not only to determine if we could separate sperm  
87 subpopulations, but also study the variation of the subpopulation structure amongst the three treatments (initial,  
88 pre-freezing and post-thawed), and its relationship with the whole sperm population, the subpopulation of  
89 “progressive” spermatozoa, and the subpopulation of “rapid” spermatozoa (obtained apart from the clustering  
90 analysis). Finally, we investigated the relationship between sperm “freezability” (taken as the difference between  
91 post-thawed and pre-freezing values of some parameters) and the motility subpopulations found in the study.

## 92 **MATERIAL AND METHODS**

93 All chemicals were obtained from Sigma (Madrid, Spain). Media were not bought as such, but prepared in our  
94 laboratory as referred. Table 1 shows a list of acronyms frequently used throughout this work.

### 95 *Genitalia collection and sperm recovery*

96 Genitalia were collected from 11 Iberian red deer (*Cervus elaphus hispanicus*) harvested in several private hunting  
97 reserves in the region of Cáceres (Spain). All the animals were adults and lived in a free-ranging regime. Sample  
98 collection was carried out during the first fortnight of December.

99 Harvest plans followed the Spanish Harvest Regulation, Law 19/01 of Extremadura, which conforms to  
100 European Union Regulation. Furthermore, species and number of individuals that can be hunted, as well as the exact  
101 times of the year when hunting can take place, are reviewed each year by the Annual Hunting Regulation of the  
102 respective regions. Animal manipulations were performed in accordance with the Spanish Animal Protection  
103 Regulation, RD223/1998, which conforms to European Union Regulation 86/609 and adheres to guidelines  
104 established in the Guide for Care and Use of Laboratory Animals as adopted and promulgated by the American  
105 Society of Andrology.

106 Scrotum, including testicles and epididymes, was removed from the carcass and refrigerated down to 5 °C as  
107 soon as possible. Date and time of death, collection and refrigeration were recorded and attached to the corresponding  
108 sample. Refrigerated genitalia were sent to our laboratory at the Veterinary Clinic Hospital of the University of León

109 (Spain), arriving about 24 hours postmortem.

110 Sample manipulation was carried out in a walk-in fridge (5 °C). Testicles with epididymes and vas deferens  
111 attached were isolated from scrotum and other tissues. Epididymes were dissected free from the testicles, and cleaned  
112 of connective tissue. To avoid blood contamination, superficial blood vessels were previously cut, wiping their  
113 contents and drying thoroughly the surface of the cauda. Sperm was collected making several incisions on the cauda  
114 epididymis with a surgical blade, and taking the liquid emerging from the cut tubules with the aid of the blade.

#### 115 *Cryopreservation protocol*

116 Sperm (still at 5 °C) was diluted 1:1 with Tes-Tris-Fructose extender, containing 10% egg yolk and 4% glycerol [29].  
117 After resting 2 h at 5 °C, the sample was further diluted with the same extender down to  $100 \times 10^6$  sperm/mL and  
118 packed in 0.25 mL French straws. Freezing was carried out using a programmable biofreezer (Planner MRII®), at  
119  $-20$  °C/min down to  $-100$  °C, and then transferred to liquid nitrogen containers. Thawing was performed by dropping  
120 the straws in water at 65 °C for 6 s.

#### 121 *Computer assisted sperm analysis*

122 Samples were analyzed just after recovery (initial assessment), just before freezing (pre-freezing assessment), and  
123 after thawing (post-thawed assessment). We will refer to these three stages as treatments.

124 Sperm were diluted down to  $10\text{--}20 \times 10^6$  spermatozoa/ml in a buffered solution (20 mmol/L Hepes,  
125 197 mmol/L NaCl, 2.5 mmol/L KOH, 10 mmol/L glucose; pH 7, 400 mOsm/kg), and warmed on a 37 °C plate for  
126 20 minutes. Then, a prewarmed Makler counting chamber (10  $\mu$ m depth) was loaded with 5  $\mu$ L of sample. The  
127 CASA system consisted of an optical phase contrast microscope (Nikon Labophot-2) (endowed with negative phase  
128 contrast objectives and a warming stage at 37 °C), a Sony XC-75CE camera, and a PC with the Sperm Class Analyzer  
129 software (SCA2002, Microptic, Barcelona, Spain). The magnification was  $\times 10$ . All samples were analyzed at least  
130 twice, in order to discard errors due to incorrect sampling. At least 5 fields per sample were acquired, recording at  
131 least 100 motile sperm. Image sequences were saved and analyzed afterwards. CASA acquisition parameters were:

132 25 images acquired, at an acquisition rate of 25 images per second.

133 Samples were corrected and analyzed using the editing facilities provided by SCA2002. Events other than  
134 spermatozoa were removed, and settings were adjusted in each case in order to assure a correct track analysis.  
135 Whenever a field was considered to have incorrect analyzed tracks and correction was not suitable, it was removed.  
136 After each analysis, data were saved in an Excel file (Microsoft, Redmon, WA). For each sperm analyzed, the  
137 SCA2002 rendered the following data: VCL (velocity according to the actual path;  $\mu\text{m/s}$ ), VSL (velocity according to  
138 the straight path;  $\mu\text{m/s}$ ), VAP (velocity according to the average —smoothed— path;  $\mu\text{m/s}$ ), LIN (linearity; %), STR  
139 (straightness; %), WOB (wobble; %), ALH (amplitude of the lateral displacement of the sperm head;  $\mu\text{m}$ ), and BCF  
140 (frequency of the flagellar beat; Hz). Detailed explanation of these descriptors of sperm movement is provided  
141 elsewhere [27, 30–32]. Spermatozoa were considered motile when  $\text{VCL} > 10\mu\text{m/s}$ .

#### 142 *CASA data preprocessing*

143 Data from SCA were processed with the help of Excel 4 macros programmed ad hoc. Excel files from SCA2002 were  
144 modified in order to give each observation (individual spermatozoa) two labels, identification of the animal and  
145 treatment. Then, files were concatenated and the resulting file was used in further analysis. Data produced by  
146 statistical procedures were also processed with the aid of macros in order to assign cluster ownership. Also, we  
147 defined other two sperm subpopulations, independently of cluster analysis: “rapid” sperm subpopulation (each  
148 spermatozoa with  $\text{VCL} > 75\mu\text{m/s}$ ) and “progressive” sperm subpopulation (progressive spermatozoa, each  
149 spermatozoa with  $\text{VCL} > 25\mu\text{m/s}$  and  $\text{STR} > 80\%$ ). These subpopulations will be referred to as PSP and RSP,  
150 respectively. We chose these parameters and these values because they were assigned in that way in the configuration  
151 of our CASA system for deer. For each subpopulation, we calculated the proportion of spermatozoa it comprised  
152 respect to the total number of motile spermatozoa in the sample (SM), or respect to the total number of spermatozoa  
153 (either motile or immotile) in the sample (ST).

154 *Evaluation of sperm viability and acrosomal status*

155 Samples (pre-freezing and post-thawed) were diluted in buffered media (1:100, same composition that the one used  
156 for motility analysis), and stained with prodidium ioide (PI; 25  $\mu\text{g}/\text{L}$ ) and PNA lectin conjugated with FITC (1  
157  $\mu\text{g}/\text{mL}$ ). After 10 min, the samples were analyzed using a FACScalibur flow cytometer (Becton Dickinson, Franklin  
158 Lakes, NJ). We used a 15 mW argon-laser that provided an excitation wavelength of 488 nm, using the FL3  
159 photodetector channel to read the red emission light of PI (650 long pass filter), and the FL1 photodetector channel to  
160 read the green emission light of FITC (530/30 band pass filter). At least 10000 events (spermatozoa detected, after  
161 discarding debris) were acquired. Considering that PI stains cells with damaged plasma membrane, and that  
162 PNA-FITC stains acrosome-reacted cells, viability and acrosomal status were defined as the percentage of  
163 PI-unstained and FITC-unstained cells in the sample, respectively.

164 *Statistical analysis*

165 All statistical analyses were carried out using the SAS/STAT™ package V. 8 (SAS Institute, Cary, NC) [33]. The  
166 main objective of the analysis was to extract sperm subpopulations using the motility data obtained from each  
167 treatment, by means of a clustering procedure, and then compare subpopulations and treatments using  $\chi^2$  and general  
168 linear models.  $P < 0.05$  was used for significance.

169 As a first step, we used the PRINCOMP procedure in order to perform a principal component analysis (PCA)  
170 of the motility data. The purpose of PCA is to derive a small number of linear combinations (principal components)  
171 from a set of variables, that retain as much of the information in the original variables as possible. This allows to  
172 summarize many variables in few, jointly uncorrelated, principal components. A good result is when we obtain few  
173 principal components accounting for a high proportion of the total variance. In order to select the number of principal  
174 components that should be used in the next step of our analysis, we followed the criterion of selecting only those with  
175 an eigenvalue (variance extracted for that particular principal component) higher than 1 (Kaiser criterion).

176 As a second step, we performed a non-hierarchical cluster analysis using the FASTCLUS procedure, and the



177 selected principal components as variables. This procedure performs a disjoint cluster analysis on the basis of  
178 distances computed from one or more quantitative variables, using euclidean distances (k-means model) to calculate  
179 cluster centers. This clustering method is often used with large data sets before trying a hierarchical one, in order to  
180 reduce the data to a few initial clusters and then pass them to the hierarchical procedure. After trying many options,  
181 we selected a maximum number of clusters of 15, as this number represents a fairly high number of initial clusters for  
182 the subsequent hierarchical procedure (too few initial clusters may have impaired the results of the last clustering  
183 step), but is not high enough to complicate the follow-up of the hierarchical clustering. We also took advantage of this  
184 step to detect outliers, since this clustering procedure is very sensitive to them, which appear as clusters with only one  
185 member. Following detection, outliers can be removed and the procedure rerun.

186         The third step of the clustering analysis was carried out using the CLUSTER procedure, which performed a  
187 hierarchical clustering on the clusters obtained by the previous step, using the average linkage method (UPGMA) for  
188 joining the clusters. The procedure displays a history of the clustering process, giving useful statistics for estimating  
189 the number of clusters in the population from which the data are sampled. In order to determine the final number of  
190 clusters, we studied the evolution along the clustering process of three statistics provided by CLUSTER: the pseudo  
191  $t^2$ , the pseudo F and the cubic clustering criterion (CCC). We looked for certain kind of consensus among the three  
192 variables, to be precise local peaks of the CCC and pseudo F statistics combined with a small value of pseudo  $t^2$  and a  
193 larger pseudo  $t^2$  for the next cluster fusion. It must be noted that other methods can be used, but this one has the  
194 advantage of being simple and gives a good estimate of the clustering trend (Figure 1). The output data set of  
195 CLUSTER were passed to the TREE procedure, that drew a tree diagram (dendrogram) describing the clustering  
196 process, and produced the n-clusters solution in a final data set, which was utilized in the subsequent statistical  
197 analysis.

198         After clustering analysis, we compared treatments within subpopulations and subpopulations within treatments  
199 (we include clusters, PSP, RSP and the whole motile sperm population within the term “subpopulation”). We used the  
200 GLM (general linear models) procedure in order to carry out an ANOVA on the data. Viability and acrosomal status

201 before and after cryopreservation were also compared with this procedure. Previously, percentages were subjected to  
202 arc sine transformation and absolute measures to log transformation. The Student-Newman-Keuls test was used for  
203 pairwise comparison when results were significant. In order to study the distributions of observations (individual  
204 motile spermatozoa) between subpopulations within treatments and between treatments within subpopulations, we  
205 used the  $\chi^2$  test, included in the FREQ procedure. When assumptions for  $\chi^2$  test were violated, the exact Fisher's test  
206 was used instead.

207 In order to determine whether we could reduce the original number of variables and still obtain informative  
208 results, we carried out a stepwise discriminant analysis (STEPDISC procedure) in the eight variables and the three  
209 clusters (separately for each treatment). This kind of analysis indicates which of the original variables best reveal  
210 differences among the given groups. Thus, we selected those variables with high discriminatory power in the three  
211 treatments, and repeated the whole clustering analysis, entering only the selected variables. This time, we selected the  
212 number of principal components by the variance explained, rather than by their eigenvalues, since we expected only  
213 one principal component with an eigenvalue higher than one due of entering less variables in the PCA.

214 Finally, we studied some aspects of the "freezability" of the individual sperm samples. We defined  
215 "freezability" as the difference between post-thawed and pre-freezing sperm quality. Therefore, we used three  
216 variables for determining the "freezability" of each sample: total motility, sperm viability and acrosomal status,  
217 subtracting their post-thawed value from the pre-freezing one. We called the new variables TMdiff, VIABdiff and  
218 ACRdiff, respectively. Then, we carried out a clustering analysis in order to classify the males according to the values  
219 of these variables. This cluster analysis was performed by carrying out a PCA and hierarchical clustering on  
220 "freezability" parameters in an identical manner as described above, but omitting the non-hierarchical clustering step  
221 (in this case there were few initial objects —males—, and this step was not necessary). Also, we carried out a  
222 correlation analysis between pre-freezing variables (including those derived from the subpopulation study) and  
223 "freezability" variables. This analysis was performed using the Spearman correlation coefficients (CORR procedure).

## 224 **RESULTS**

### 225 *General results and preliminary analysis*

226 Samples acquired with CASA were analyzed and corrected. When a field could not be analyzed due to sampling  
227 errors (incorrect contrast or bright, causing most of the tracks to be incorrectly analyzed by the software), it was  
228 removed. After correcting the samples, we pooled all the data in a common database, with 893 observations for the  
229 initial analysis, 1526 observations for pre-freezing, and 919 observations for post-thawed analysis. Each observation  
230 was identified by three codes, the number of the deer, the treatment (initial, pre-freezing, or post-thawed), and the  
231 number of the sperm within each acquisition.

232 In general, motility parameters were good, even after thawing, considering the whole population, PSP and RSP  
233 subpopulations (Table 2). Comparison of the proportion of motile, progressive and rapid spermatozoa ( $\chi^2$  test)  
234 indicated significant differences amongst all treatments. Total motility had the lowest values initially, improved  
235 greatly in the pre-freezing, and decreased post-thawed, but were still higher than in the initial treatment. Conversely,  
236 PSP decreased pre-freezing and returned to initial values post-thawed, which also gave good numbers of RSP (in fact,  
237 sperm velocity was higher post-thawed). On the other hand, viability decreased significantly from a pre-freezing value  
238 of  $84.5 \pm 1.5\%$  to a post-thawed value of  $67 \pm 1.8\%$ , whereas the percentage of spermatozoa with damaged acrosomes,  
239 as indicated by PNA-FITC staining, increased significantly from  $3.2 \pm 0.7\%$  to  $8.1 \pm 1.2\%$ .

### 240 *First cluster analysis*

241 For each treatment, PCA rendered two principal components with eigenvalues above 1 (PRIN1 and PRIN2; Table 3),  
242 which accounted for more than 80% of the variance. Considering the scores of CASA parameters, the first principal  
243 component was related to fast and linear movement, whereas the second principal component was related to fast  
244 erratic movement, including wide head lateral displacement.

245 The two principal components entered in the non-hierarchical clustering, and the resulting 15 clusters were  
246 grouped into three clusters, after applying the hierarchical procedure and studying the plots of CCC, pseudo-F and

247 pseudo- $t^2$  vs. number of clusters (Figure 1). The dot plots of the two principal components for each treatment showed  
248 that the positions of the three clusters were similar in the multidimensional space defined by these principal  
249 components (Figure 2), thus we related each cluster in each treatment to its equivalent in the other two treatments and  
250 denominated them CL1, CL2 and CL3. CL1 and CL2 were well defined by their PRIN1 values (in general, CL1 with  
251 negative values and CL2 with positive ones). Therefore, accordingly to the eigenvalues of the motility descriptors for  
252 PRIN1, CL1 would include slow and non-linear spermatozoa, whereas CL2 would include fast and linear  
253 spermatozoa. In the same sense, CL3 has positive values for PRIN2, so this cluster would include fast, non-linear  
254 sperm, with high ALH. These characteristics are reflected in the mean values of the motility descriptors (Table 2).  
255 Observing the variation in the clouds of dots between treatments, we observed a higher dispersion of CL3 in the initial  
256 treatment, whereas CL2 was compact in the initial and post-thawed treatments, but more scattered in the pre-freezing  
257 treatment. These changes correspond with alterations in their motility descriptors, as can be observed in table 2.

258 In general, the distribution of spermatozoa amongst the three clusters followed the trend found for the whole  
259 population, and PSP and RSP subpopulations. Interestingly, PSP included 93% and 99% of CL2 (rapid and linear) in  
260 the initial and post-thawed treatments, respectively, but in the pre-freezing one, only 74% of CL2 was included into  
261 PSP, indicating a drop in the proportion of high-linear spermatozoa contained in CL2 (Figure 3). On the other hand,  
262 RSP (Figure 4) comprised the totality of CL3 (rapid and non linear) in the three treatments, plus a constant part of  
263 CL2 (around 75%). Although spermatozoa in CL1 were considered slow and non-linear, 20–25% were included in  
264 PSP, and around 11% (initial) and 18% (pre-freezing and post-thawed) were included in RSP.

#### 265 *Clustering analysis with a reduced set of variables*

266 We selected VCL, VSL and LIN after carrying out the discriminant analysis. In the three treatments, these variables  
267 were among the four with the highest F and  $R^2$  values (indicating good discriminant power). BCF in the initial, and  
268 VAP in the post-thawed treatment had also good discriminant power, but were rejected because they did not get good  
269 values in all the three treatments. The PCA rendered three principal components, and the two with the highest  
270 eigenvalues passed to the clustering analysis (Table 4). Although PRIN2 was lower than 1, we included it in the

271 analysis because it contributed with an appreciable proportion of the total variance. PRIN1 represented one variable  
272 related to both good velocity and linearity, whereas PRIN2 represented low velocity (VCL), but even better linearity  
273 than PRIN1.

274 We obtained four clusters in each treatment, which were called CL1b, CL2b, CL3b and CL4b, plus an extra  
275 cluster in the post-thawed treatment, CL5b. Considering their characteristics (Table 5), CL1b and CL2b were similar  
276 to CL1 and CL3, respectively. CL3b and CL4b were similar to CL2, sharing good linearity, but CL3b was faster and  
277 had higher ALH. Moreover, the evolution of the proportions of CL1 and CL2 compared with CL1b and CL3b+CL4b,  
278 respectively, were very similar. However, CL2b behaved differently than CL3, peaking in the pre-freezing treatment  
279 instead. The positions of each cluster in the space defined by the two principal components (Figure 5) coincided in the  
280 three treatments, and it was possible to carry out an interpretation similar to the one in the first analysis. CL1b and  
281 CL3b were mainly defined by PRIN1 (slow and non-linear vs. rapid and linear), and CL2b and CL4b by PRIN2 (rapid  
282 and non-linear vs. —comparatively— slow and linear). CL5b could be considered as a residual subpopulation,  
283 considering its low proportion and that its position coincides with part of CL3b in the other treatments. The  
284 proportion of each subpopulation included in PSP was similar to the numbers reported for the first clustering analysis,  
285 CL3b and CL4b being almost completely included. Although CL3b drop from above 90% to 87% in the pre-freezing  
286 treatment, this decrease was less important than the one undergone by CL2. Regarding RSP, it comprised totally both  
287 CL2b and CL3b totally. There were interesting variations between treatments considering CL4b, being its proportions  
288 in RSP being 71, 39 and 25%, for the initial, pre-freezing and post-thawed treatments, respectively. This matched the  
289 decrease of the mean values of VAP throughout the treatments, such as showed in Table 5. CL1b also underwent also  
290 a consequent but less dramatic variation (12, 17 and 14%, for each treatment).

### 291 *Study of sperm “freezability”*

292 Table 6 shows the values for “freezability” parameters and the proportions of the subpopulations obtained in the first  
293 part of this study (pre-freezing values) for each group of males. TMdiff, Viabdiff and ACRdiff were introduced in the  
294 PCA, which rendered two principal components (eigenvalues 1.38 and 1.06), taking account of 0.46 and 0.35 of total

295 variance for each. The eigenvectors of the three “freezability” variables, for PRIN1 and PRIN2 respectively, were:  
296 TMdiff, 0.06 and 0.93; VIABdiff, 0.70 and -0.30; and ACRdiff, 0.72 and 0.20. In this case, PRIN1 was clearly related  
297 to viability and acrosomal status, whereas PRIN2 was mostly related to motility, and, to a lesser degree, to viability  
298 (inversely) and acrosomal status. The classification of the males depending on “freezability” parameters is shown in  
299 Figure 6. According to the interpretation of the principal components, cluster 1 would contain the samples that better  
300 resisted cryopreservation (its location, with positive PRIN1 values suggested better VIABdiff and ACRdiff), whereas  
301 cluster 2 represented samples with worse (lower) VIABdiff and ACRdiff. TMdiff seemed little affected in both cases,  
302 since these clusters have low absolute values for PRIN2. On the other hand, cluster 3 was characterized by bad  
303 VIABdiff and ACRdiff, but good motility recovery, because of PRIN2 positive values. Cluster 4 represented an  
304 interesting case, because its position indicated bad motility and acrosomal status recovery, but, because of VIABdiff  
305 negative eigenvector for PRIN2, viability recovery would be better than those of clusters 2 or 3. In fact, as shown in  
306 the dendrogram, male 11 may be an outlier, which would be removed from the analysis as more males were included  
307 in the study.

308 Interestingly, clusters 1 and 4 seemed to have a higher CL2 (rapid and linear) pre-freezing proportions,  
309 whereas clusters 2 and 3 had higher CL1 (slow and no linear) pre-freezing proportions (due to the low number of  
310 males, no significance test were performed). Cluster 4, with the poorest TMdiff and ACRdiff, had the highest CL3  
311 (rapid and no linear) proportion. PSP and RSP proportions did not show any apparent relation with better or worse  
312 recovery of ‘freezability’ variables. The correlation analysis rendered a significant correlation between CL2 and  
313 VIABdiff ( $r=0.71$ ,  $P=0.015$ ). No significant correlations were found between the other parameters. However, the sum  
314 of CL3b (very rapid and linear) and CL4b (rapid and linear) (considered roughly equivalent to CL2) also correlated  
315 with VIABdiff ( $r=0.81$ ,  $P=0.003$ ).

316 **DISCUSSION**317 *Considerations on the statistical procedure*

318 Multivariate clustering methods for the study of CASA data are not of general usage in sperm work. However, a  
319 review on articles that apply this kind of analysis show a number of interesting findings from this kind of analysis. We  
320 can also appreciate that different authors have chosen a great variety of combinations of different statistical test. For  
321 instance, Davis et al. [27] carried out a multi-step iterative procedure, combining the k-means model with multivariate  
322 discriminate analysis. On the other hand, Holt [6] compared a non-hierarchical clustering method (k-means model)  
323 with two hierarchical ones (UPGMA and WPGMC). However, these authors compared these methods, but did not use  
324 them sequentially, such as in the present work. Abaigar et al. [22] utilized a different approach, using the PATN  
325 software. This software package provides many statistical tools which allow to extract and displaying patterns in  
326 multivariate data. These authors performed a non-hierarchical clustering carried out using non-parametric algorithms,  
327 its output was redirected to a hierarchical clustering analysis (UPGMA), and the final number of clusters was selected  
328 with the help of the FUSE module of the statistical package. To facilitate interpretation of data, they completed the  
329 analysis performing principal coordinates analysis and principal axis correlation, which allowed them to present  
330 multivariate data in a simpler format. This statistical package and a similar statistical procedure has been followed in  
331 other articles [24, 25, 34].

332 Other authors have followed simpler methods, which have provided useful information, though.  
333 Quintero-Moreno et al. [7 28] selected the motility descriptors that should pass to the clustering step by means of  
334 applying the VARCLUS procedure of the SAS package, which divides a set of numeric variables into either disjoint  
335 or hierarchical clusters. This classification, enables one to select the most representative parameters for the data to be  
336 studied. After selecting the most representative parameters, they performed a non-hierarchical cluster analysis using  
337 the k-means model (FASTCLUS procedure), obtaining the corresponding clusters.

338 The main objective of this work was to combine several statistical procedures in order to extract several  
339 clusters (sperm subpopulations) from CASA data. We based it on the cited studies, specially the one by Abaigar et al.

340 [22]. However, we intended to develop a protocol that would not depend on one specific type of software, but could be  
341 easily implemented elsewhere (SPSS, Statistica, S/STAT, R, etc). Thus we have given a detailed description of the  
342 SAS procedures that we utilized. Since information on this statistical package is widely available [33], anybody with  
343 some knowledge of statistics should be able to apply the described analysis to their own statistical software. Firstly,  
344 we had to decide which clustering analysis to use. Taking into account the huge amount of data that a CASA analysis  
345 can produce, we decided to perform the clustering in two steps: a previous non-hierarchical clustering, which would  
346 reduce the data to a relative small number of clusters, followed by a hierarchical clustering step. Holt [6]  
347 recommended hierarchical clustering methods to the non-hierarchical k-means model, because the former provide  
348 more information and allows the clustering process history to be thoroughly studied (in order to select the final  
349 number of clusters, for instance). Moreover, the use of the k-means model implies a previous knowledge of the  
350 clustering structure, since the final number of clusters must be provided before performing the analysis (although  
351 some statistics can be used to test the efficacy of the clustering procedure). A good reason for the use of a  
352 non-hierarchical method as an intermediate step is the detection of outliers, as explained in Material and Methods.

353 On the other hand, an important issue is the selection of the motility descriptors that may enter in the clustering  
354 analysis. Quintero-Moreno et al. [28] pointed out that most motility descriptors are often highly correlated, and that  
355 the relative importance of these parameters may vary between species. In fact, these authors used the VARCLUS  
356 procedure to select the variables that would enter in the clustering analysis. Another problem is the need of  
357 transforming motility parameters prior to the clustering analysis, at least by performing a standardization step.  
358 Otherwise some descriptors would outweigh other because of different scales. It is important to note that Abaigar  
359 et al. [24] overcame this problem without having to transform their data because they used non-parametric  
360 algorithms in their non-hierarchical clustering method. Our proposal for resolving these issues was to perform a  
361 principal component analysis before carrying out any clustering analysis. We thus converted a number of  
362 unstandardized, highly correlated parameters into few variables, representing linear combinations of the former  
363 parameters, standardized and uncorrelated. This analysis not only serves to simplify the interpretation of our data,



364 because of the parameter reduction, but also provides abundant information that can be useful for the interpretation  
365 and representation of the results of the clustering analysis. We also carried out a discriminant analysis, from which we  
366 selected a subset of variables that were used successfully in the second clustering analysis. In fact, results obtained  
367 with that subset even provided more detailed information on some aspects, indicating the suitability of this kind of  
368 analysis when performing in-depth studies with CASA data.

369 Another fundamental issue in the clustering analysis is the selection of the final number of clusters obtained  
370 from the analysis. The study of the cluster distances and the dendrograms produced by hierarchical cluster analysis  
371 can greatly help in this step, especially when we have a previous knowledge of the internal structure of our data. The  
372 method used in this work, based on the evolution of pseudo-F, CCC and pseudo- $t^2$  statistics in the clustering process,  
373 has many limitations (it works better with compact or slightly elongated clusters [33]), but provides an objective and  
374 flexible way to determine the final number of clusters. Although many studies analyze significant differences amongst  
375 the mean values of clusters, these results should be carefully considered, especially if the analyzed variables were  
376 those that entered in the clustering analysis. The reason is that clustering algorithms intend to maximize the variability  
377 between clusters, thus significant differences are not surprising, but rather expected. Significant differences amongst  
378 clusters may be used as an aid to explain clusters characteristics or, such as in this study, to showing the differences  
379 between the clusters and other groups of motile sperm obtained by different means.

#### 380 *Subpopulation structure and cryopreservation effects*

381 The clustering analysis allowed us to obtain three distinct subpopulations. It may be interesting to test whether CL3 or  
382 CL2b (rapid and no linear spermatozoa) contained spermatozoa with some kind of hiperactivation, but unfortunately  
383 the acquisition conditions (chamber only 10  $\mu\text{m}$  deep) were not adequate to perform such an analysis. It is important  
384 to point out that we obtained the same number of subpopulations in the three treatments, in both analyses, and,  
385 although mean values were different for some parameters, their general characteristics remained similar. This  
386 indicates that the internal structure of the CASA data was mostly preserved, undergoing few changes through the  
387 processing and freezing-thawing. Interestingly, a similar pattern was obtained by Holt [6] in boar sperm after applying

388 a hierarchical clustering method. However, other reports indicate different characteristics, especially considering  
389 sperm progressivity. This may have happened because of different clustering techniques, different species, and  
390 different sources of sperm. However, there is a general coincidence on the number of subpopulations (three or four, in  
391 general). Quintero-Moreno et al. [28] highlighted this coincidence between studies, and suggested that this pattern  
392 could be a widespread fact.

393 On the other hand, the source of spermatozoa could be a very important factor regarding differences between  
394 works, since epididymal and ejaculated spermatozoa are different in many senses. Although sperm from the cauda  
395 epididymis is almost analogous to ejaculated semen, it has not had contact with seminal plasma and its diverse  
396 factors, which are known to alter many characteristics of sperm [35, 36]. For instance, Holt [6] and Abaigar et al. [22]  
397 represented the location of the different clusters in plots in a multidimensional space defined by canonical or factor  
398 variables, where the clusters appeared mostly as clearly separated groups, whereas in our study it was difficult to  
399 visually separate the cloud of points defined by PRIN1 and PRIN2 in well-defined groups. The lack of separation  
400 between clusters may be a characteristic of epididymal sperm, although we need to compare with ejaculated deer  
401 sperm in order to confirm this.

402 One interesting fact in our data is the higher proportion of motile sperm in thawed samples when compared  
403 with the initial sampling. This may seem surprising if we do not analyze the pre-freezing sampling. In order to explain  
404 the variations in the motility characteristics in the three treatments, we have to consider many factors, the most  
405 important being the source of sperm. The samples (still in the cauda epididymis) arrived at our laboratory after being  
406 stored many hours at 5 °C, and the first evaluation of motility took place in a relative simple medium. Our experience  
407 with epididymal sperm has showed us that spermatozoa recently salvaged from the cauda epididymis generally are  
408 slower, compared with those from ejaculates, and frequently many of them just present a weak the tail beat, with very  
409 slow displacement or none at all, and are therefore not detected as motile by CASA. Only after warming the sample  
410 for some time (generally from 20 to 40 min) did we obtain a stable and representative motility pattern that could be  
411 reliably measured with the CASA equipment. The situation was very different for the pre-freezing samples, possibly

412 because the sperm had been diluted with the extender. This is a more complex medium including egg yolk, which is  
413 known to alter sperm motility [37–39]. Epididymal sperm may undergo some changes when in contact with the  
414 extender, activating the motility of some sperm [40], and therefore altering the characteristics and proportions of the  
415 subpopulations, as we have observed. In fact, the increasing in the proportion of CL1 (slow, non-linear sperm) may be  
416 due mostly to the activation of spermatozoa that were not detected as motile in the initial sampling, rather than the  
417 conversion of CL2 (rapid and linear) or CL3 (rapid and no linear) spermatozoa to CL1 spermatozoa. Furthermore,  
418 after being in contact with the extender, long warming times were not necessary anymore, and motility could be  
419 acquired at 5 or 10 min of warming time with no difference. Nevertheless, we kept 20 min as warming time, in order  
420 to respect the initial protocol, and considering that there was no alteration with regard to shorter times.

421 The discriminant analysis and the subsequent clustering analysis showed that it is possible to reduce the  
422 number of initial variables and still obtain a good subpopulation study. Still, the similarity of the subpopulation  
423 structure of both analysis (with the exception of the different number of subpopulations) suggest that most of the  
424 motility descriptors were redundant and may be removed from the analysis without problems. However, the use of  
425 more complex descriptors, such as those based on hyperactivation, angular and oscillation parameters, may improve  
426 this kind of study. However, the correct analysis of these parameters implies certain conditions that we were unable to  
427 achieve, such as sufficient chamber depth to allow the free movement of spermatozoa [41]. In the second part of the  
428 study we obtained even more extensive information. For instance, assuming that CL3b+CL4b (very rapid and linear,  
429 and rapid and linear) were equivalent to CL2 (rapid and linear), that means that there were some variations in the  
430 internal structure of CL2 between different treatments. That is, CL3b was stable considering its motility parameters,  
431 but the mean velocity of CL4b dropped in the pre-freezing and post-thawed treatments when compared to the initial  
432 one, which was not evident in the mean values of CL2. The reason was that, in the pre-freezing treatment, a  
433 considerable part of CL2b (rapid and non linear) was included in CL2, and, in the post-thawed treatment, CL3b  
434 prevailed over CL4b. Although we considered CL2b equivalent to CL3 (rapid and non linear), it had a more complex  
435 nature, since in the initial treatment many CL3 members (the most linear ones) were included in CL3b, and in the

436 pre-freezing treatment, as noted before, CL2b included not only CL3, but also part of CL2.

437           It is noteworthy that, without considering sperm subpopulations, all this interpretation would have not been  
438 possible. In fact, the inclusion of PSP (progressive) and RSP (rapid), although not as informative as the  
439 subpopulations derived from cluster analysis, provided some useful information that is not obtained when only the  
440 mean values of motility descriptors are considered. These subgroups have the advantage that they can be readily  
441 extracted from the CASA data. However, clusters provided more extensive results, and there was not a clear identity  
442 between them and PSP or RSP. On the other hand, the definition of “progressive” or “rapid” spermatozoa allowed to  
443 deepen the internal structure of the clusters.

444           Considering the “freezability” analysis, both sperm viability and acrosomal status were clearly affected by the  
445 cryopreservation process, since these parameters are highly dependent on the status of sperm membranes. The  
446 clustering of the males according to our “freezability” parameters grouped effectively those samples with similar  
447 ability for maintaining these parameters after thawing. On the other hand, the correlation between the pre-freezing  
448 proportion of CL2 and VIABdiff suggested some kind of relationship between the predominance of a sperm  
449 subpopulation and the outcome of the cryopreservation process. This seemed to be further supported by the  
450 correlation found between VIABdiff and the sum of the pre-freezing proportions of CL3b and CL4b. The lack of  
451 correlation with CL3b or CL4b separately, but with CL3b+CL4b, may indicate that the presence of a subpopulation  
452 with linear spermatozoa, irrespective of its velocity, may be related to good post-thawed recovery of sperm viability.  
453 Unfortunately, our limited data did not allow us to present a thorough analysis on this issue, including the relationship  
454 of subpopulations with fertility. Anyway, this was not one of the objectives of this study, which were aimed at  
455 applying the studied statistical methods and describing the subpopulations obtained. However, further studies should  
456 be carried out to test the real meaning of these subpopulations in relation to fertility, as suggested by other authors  
457 [27]. In this sense, Quintero-Moreno et al. [28], working with stallion sperm, found that ejaculates with high fertility  
458 shared a special subpopulation pattern. Nevertheless, the same authors [7] did not find such a relationship when  
459 studying boar semen. The exact nature of sperm subpopulations and their influence on the fertility of a sample may be

460 a complex issue, and the use of different CASA protocols and statistical analysis may complicate the comparison  
461 between different studies.

#### 462 *Conclusions*

463 In conclusion, we have applied a statistical method that enabled us to find sperm subpopulations defined by motility  
464 parameters. By examining the obtained subpopulations, we were also able to determine some characteristics of red  
465 deer epididymal sperm, and allowed us to study the variations it underwent through a cryopreservation protocol. One  
466 interesting fact was the conservation of the subpopulation pattern between the different treatments. We could relate  
467 one of these subpopulations, characterized by rapid and linear spermatozoa, to good post-thawed viability recovery.  
468 The study of two motility subgroups defined by us independently of the clustering analysis, one of rapid and other of  
469 progressive sperm, helped to obtain useful information on the internal composition of the clusters. This study was  
470 necessarily limited, including the lack of fertility data, but the clustering analysis gave interesting information not  
471 available using conventional motility analysis. Due to the possibilities of this kind of analysis, we consider that the  
472 study of sperm subpopulations defined by motility descriptors should be widely considered, especially when  
473 including fertility results.

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570           FIG. 1. Example of the dendrogram derived from the hierarchical clustering analysis, and of the plot used for  
571 the determination of an adequate final number of clusters (data from the first clustering analysis, post-thawed  
572 treatment). The plot on the left shows the dendrogram resulting from the hierarchical clustering of the 15 clusters  
573 derived from the PCA and subsequent non-hierarchical clustering of post-thawed motility data. The plot on the right  
574 shows the line plot of CCC, pseudo-F and pseudo- $t^2$  statistics produced by the hierarchical clustering procedure,  
575 which helped to find possible cut places in the dendrogram (the three variables were standardized in order to show all  
576 three in the same plot). Each step in the hierarchical clustering joins two clusters in a new one, and generates new  
577 values for these three statistics. Suitable number of clusters are indicated by local high values of the CCC and  
578 pseudo-F statistics and low values of pseudo- $t^2$  statistic, followed by decreasing CCC and pseudo-F and increasing  
579 pseudo- $t^2$  in the next cluster fusion. In this case, the plot clearly indicates 3 final clusters, although numbers of 5 or 8  
580 may have been eligible for study.

581           FIG. 2. Dot plots corresponding to the clusters obtained from the first analysis, defined by the two first  
582 principal components (PRIN1 and PRIN2). In order to follow the evolution of each subpopulation between treatments,  
583 each original dot plot was decomposed into three, showing only one cluster each. Each event represents one  
584 individual spermatozoa. The PCA enabled the resulting eight-dimensional space to be shown in a two-dimensional  
585 plot, not only preparing the data for the clustering analysis, but also helping in its interpretation. Events are  
586 represented by different symbols in order to identify which cluster they belong to (CL1: ○; CL2: □; CL3: △).

587           FIG. 3. Representation of the “progressive” (PSP) subpopulation in the space defined by the first two principal  
588 components (PRIN1 and PRIN2, from the first clustering analysis). In order to follow the evolution of each  
589 subpopulation between treatments, each original dot plot was decomposed into three, showing only one cluster each.  
590 Each event represents one individual spermatozoon. Events are represented by different symbols in order to identify  
591 which cluster they belong to (CL1: ○; CL2: □; CL3: △).

592           FIG. 4. Representation of the “rapid” (RSP) subpopulation in the space defined by the two first principal  
593 components (PRIN1 and PRIN2, from the first clustering analysis). In order to follow the evolution of each  
594 subpopulation between treatments, each original dot plot was decomposed into three, showing only one cluster each.  
595 Data from the initial, pre-freezing and post-thawed analysis are presented from left to right. Each event represents one  
596 individual spermatozoon. Events are represented by different symbols in order to identify which cluster they belong to  
597 (CL1: ○; CL2: □; CL3: △).

598           FIG. 5. Clusters obtained after the discriminant analysis. Dot plots of the motility data defined by the two first  
599 principal components (PRIN1 and PRIN2). In order to follow the evolution of each subpopulation between  
600 treatments, each original dot plot was decomposed in three, showing only one cluster each. Data from the initial,  
601 pre-freezing and post-thawed analysis are presented from left to right. Each event represents one individual  
602 spermatozoon. Events are represented by different symbols in order to identify which cluster they belong to  
603 (CL1b: ○; CL2b: □; CL3b: △; CL4b: +). CL5b (•) is shown together with CL3b and CL4b in the corresponding plot.

604           FIG. 6. Clustering of the males depending on the “freezability” of their sperm samples. 6A show the  
605 dendrogram with the males progressively joining in clusters, and the position where it was cut to form the final  
606 clusters. 6B show the males in the multidimensional space defined by the two first principal components extracted  
607 from PCA (PRIN1 and PRIN2). Ellipses indicate belonging to a concrete cluster. Compare the joining process in the  
608 dendrogram with the distances between males in the plot.



TABLE 1. List of some acronyms frequently used in this work.

Acronym	Meaning
ST	Proportion of spermatozoa in a subpopulation respect to the total number of spermatozoa (either motile or immotile) in the whole sample.
SM	Proportion of spermatozoa in a subpopulation respect to the number of motile spermatozoa in the whole sample.
PSP	Subpopulation comprising progressive sperm ( $VCL > 10 \mu\text{m/s}$ and $STR > 80\%$ ).
RSP	Subpopulation comprising rapid sperm ( $VCL > 75 \mu\text{m/s}$ ).
PCA	Principal components analysis.
CCC	Cubic clustering criterion.
PRIN1	First principal component extracted from a PCA.
PRIN2	Second principal component extracted from a PCA.
CL1, CL2 and CL3	Clusters obtained from the first clustering analysis.
CL1b, CL2b, CL3b, CL4b and CL5b	Clusters obtained from the second clustering analysis, after performing the discriminant analysis.

TABLE 2. Summary of selected motility parameters obtained in this study (SM, ST, VAP, LIN, ALH and BCF), considering subpopulations and treatments. Subpopulations include: the whole population of motile spermatozoa, PSP, RSP, and the three subpopulations defined by the first clustering analysis (CL1, CL2 and CL3). The treatments are I: initial, PF: pre-freezing and PT: post-thawed. Data are expressed as Mean $\pm$ SD.

Subpopulation	Treatment	SM (%) <sup>1</sup>	ST (%) <sup>1</sup>	VAP ( $\mu$ m/s)	LIN (%)	ALH ( $\mu$ m)	BCF (Hz/s)
Motile <sup>2</sup>	I	100	68.6 <sup>a</sup>	68.4 $\pm$ 40.9 <sup>aA</sup>	64.3 $\pm$ 27.1 <sup>aA</sup>	2.8 $\pm$ 1.9 <sup>aA</sup>	7.8 $\pm$ 4.1 <sup>A</sup>
	PF	100	82.0 <sup>b</sup>	58.7 $\pm$ 37.8 <sup>bA</sup>	47.9 $\pm$ 24.1 <sup>bA</sup>	3.4 $\pm$ 2.1 <sup>bA</sup>	8.0 $\pm$ 3.5 <sup>A</sup>
	PT	100	77.6 <sup>c</sup>	74.6 $\pm$ 45.3 <sup>aA</sup>	47.9 $\pm$ 27.6 <sup>bA</sup>	3.4 $\pm$ 1.5 <sup>bA</sup>	8.0 $\pm$ 3.6 <sup>A</sup>
PSP	I	69.5 <sup>aA</sup>	47.7 <sup>aA</sup>	81.4 $\pm$ 34.3 <sup>aB</sup>	78.4 $\pm$ 17.5 <sup>aA</sup>	2.6 $\pm$ 1.8 <sup>aA</sup>	8.1 $\pm$ 3.8 <sup>A</sup>
	PF	42.8 <sup>bA</sup>	35.1 <sup>bA</sup>	69.0 $\pm$ 35.6 <sup>bB</sup>	69.8 $\pm$ 17.0 <sup>bB</sup>	2.9 $\pm$ 1.7 <sup>aB</sup>	9.3 $\pm$ 3.5 <sup>B</sup>
	PT	64.9 <sup>cA</sup>	50.4 <sup>aA</sup>	88.2 $\pm$ 39.8 <sup>aB</sup>	80.2 $\pm$ 16.2 <sup>aB</sup>	2.5 $\pm$ 1.2 <sup>bA</sup>	8.9 $\pm$ 3.5 <sup>A</sup>
RSP	I	61.0 <sup>aB</sup>	41.9 <sup>aB</sup>	94.0 $\pm$ 29.0 <sup>aC</sup>	73.2 $\pm$ 22.3 <sup>aC</sup>	3.5 $\pm$ 2.0 <sup>aB</sup>	8.7 $\pm$ 4.0 <sup>B</sup>
	PF	51.8 <sup>bB</sup>	42.5 <sup>abB</sup>	88.3 $\pm$ 27.0 <sup>bC</sup>	50.7 $\pm$ 23.7 <sup>bA</sup>	4.8 $\pm$ 2.0 <sup>bC</sup>	8.2 $\pm$ 3.5 <sup>A</sup>
	PT	58.6 <sup>aB</sup>	45.5 <sup>bB</sup>	106.2 $\pm$ 29.0 <sup>cB</sup>	73.1 $\pm$ 23.9 <sup>aC</sup>	3.3 $\pm$ 1.5 <sup>aB</sup>	8.6 $\pm$ 3.7 <sup>A</sup>
CL1	I	27.9 <sup>aC</sup>	19.1 <sup>aC</sup>	20.3 $\pm$ 13.9 <sup>aD</sup>	31.7 $\pm$ 13.8 <sup>aD</sup>	1.9 $\pm$ 1.1 <sup>aC</sup>	4.9 $\pm$ 3.1 <sup>aC</sup>
	PF	45.6 <sup>bC</sup>	37.4 <sup>bAC</sup>	26.8 $\pm$ 15.9 <sup>bD</sup>	32.9 $\pm$ 14.1 <sup>aC</sup>	2.4 $\pm$ 1.2 <sup>bD</sup>	7.2 $\pm$ 3.3 <sup>bC</sup>
	PT	33.0 <sup>cC</sup>	25.6 <sup>cC</sup>	28.2 $\pm$ 21.1 <sup>bD</sup>	35.8 $\pm$ 15.7 <sup>bD</sup>	2.2 $\pm$ 1.1 <sup>bC</sup>	7.0 $\pm$ 3.2 <sup>bB</sup>
CL2	I	50.9 <sup>aD</sup>	34.9 <sup>aD</sup>	80.8 $\pm$ 28.8 <sup>aB</sup>	86.1 $\pm$ 11.2 <sup>aE</sup>	2.0 $\pm$ 0.8 <sup>aD</sup>	9.6 $\pm$ 3.8 <sup>aB</sup>
	PF	45.9 <sup>bC</sup>	37.6 <sup>aC</sup>	82.6 $\pm$ 29.6 <sup>aC</sup>	67.3 $\pm$ 18.7 <sup>bB</sup>	3.6 $\pm$ 1.8 <sup>bE</sup>	9.0 $\pm$ 3.5 <sup>bB</sup>
	PT	60.2 <sup>cB</sup>	46.7 <sup>bB</sup>	96.1 $\pm$ 35.3 <sup>bBC</sup>	83.1 $\pm$ 13.0 <sup>cB</sup>	2.5 $\pm$ 1.3 <sup>cA</sup>	8.9 $\pm$ 3.6 <sup>bA</sup>
CL3	I	21.2 <sup>aE</sup>	14.6 <sup>aE</sup>	101.8 $\pm$ 33.5 <sup>C</sup>	54.8 $\pm$ 17.4 <sup>aF</sup>	5.6 $\pm$ 1.8 <sup>aE</sup>	7.3 $\pm$ 3.6 <sup>A</sup>
	PF	8.6 <sup>bD</sup>	7.0 <sup>bD</sup>	100.7 $\pm$ 20.9 <sup>E</sup>	23.9 $\pm$ 8.4 <sup>bD</sup>	7.7 $\pm$ 1.6 <sup>bF</sup>	7.1 $\pm$ 3.1 <sup>C</sup>
	PT	6.9 <sup>bD</sup>	5.3 <sup>cD</sup>	108.2 $\pm$ 37.3 <sup>C</sup>	30.3 $\pm$ 16.1 <sup>cE</sup>	5.9 $\pm$ 1.1 <sup>cD</sup>	6.9 $\pm$ 3.0 <sup>B</sup>

<sup>1</sup>:  $\chi^2$  on raw data (rest of comparisons by ANOVA+SNK test).

<sup>2</sup>: for the whole population of motile sperm, % (motile) is 100% (not included in between-subpopulations comparison).

<sup>a,b,c</sup>: rows (treatments within subpopulations) with different superscripts differ P<0.05.

<sup>A,B,C,D,E</sup>: rows (subpopulations within treatments) with different superscripts differ P<0.05.

TABLE 3. Summary of the results of the PCA performed on the CASA data. The first two principal components obtained for each treatment (eigenvalues higher than 1) are showed. Variance explained is the proportion of the total variance explained by each principal component. The eigenvectors are a measure of association of the original parameters with the resulting principal components.

		Initial		Pre-freezing		post-thawed	
		PRIN1	PRIN2	PRIN1	PRIN2	PRIN1	PRIN2
Eigenvalues		4.57	2.05	3.82	2.63	4.47	2.02
Variance explained		0.57	0.26	0.48	0.33	0.56	0.25
Eigenvectors	VCL	0.35	0.46	0.35	0.44	0.37	0.44
	VSL	0.45	0.12	0.49	0.03	0.46	0.07
	VAP	0.43	0.24	0.45	0.27	0.43	0.26
	LIN	0.40	-0.34	0.38	-0.40	0.40	-0.35
	STR	0.35	-0.26	0.29	-0.41	0.33	-0.38
	WOB	0.37	-0.31	0.38	-0.27	0.40	-0.22
	ALH	0.12	0.64	0.20	0.54	0.11	0.64
	BCF	0.25	-0.18	0.16	-0.18	0.18	-0.09

TABLE 4. Summary of the second PCA, performed only with the variables selected after the discriminant analysis. Variance explained is the proportion of the total variance explained by each principal component. The eigenvectors are a measure of association of the original parameters with the resulting principal components.

		Initial		Pre-freezing		post-thawed	
		PRIN1	PRIN2	PRIN1	PRIN2	PRIN1	PRIN2
Eigenvalues		2.26	0.70	1.98	0.96	2.30	0.65
Variance explained		0.75	0.23	0.66	0.32	0.77	0.22
Eigenvectors	VSL	0.66	-0.04	0.70	0	0.65	-0.02
	VCL	0.55	-0.66	0.52	-0.69	0.55	-0.68
	LIN	0.52	0.75	0.49	0.72	0.52	0.73

TABLE 5. Clustering obtained after the discriminant analysis. Summary of selected motility parameters obtained in this study (SM, ST, VAP, LIN, ALH and BCF), considering subpopulations and treatments. The treatments are I: initial, PF: pre-freezing and PT: post-thawed. Data are expressed as Mean $\pm$ SD.

Subpopulation	Treatment	SM (%) <sup>1</sup>	ST (%) <sup>1</sup>	VAP ( $\mu$ m/s)	LIN (%)	ALH ( $\mu$ m)	BCF (Hz/s)
CL1b	I	32.2 <sup>a</sup>	22.1 <sup>a</sup>	22.8 $\pm$ 14.9 <sup>a</sup>	35.9 $\pm$ 17.2	2.0 $\pm$ 1.1 <sup>a</sup>	5.5 $\pm$ 3.4 <sup>a</sup>
	PF	48.8 <sup>b</sup>	40.0 <sup>b</sup>	27.6 $\pm$ 15.7 <sup>b</sup>	36.4 $\pm$ 16.3	2.3 $\pm$ 1.1 <sup>b</sup>	7.5 $\pm$ 3.5 <sup>b</sup>
	PT	33.7 <sup>a</sup>	26.1 <sup>c</sup>	26.0 $\pm$ 17.6 <sup>c</sup>	36.9 $\pm$ 16.5	2.1 $\pm$ 1.0 <sup>a</sup>	7.2 $\pm$ 3.3 <sup>b</sup>
CL2b	I	10.9 <sup>a</sup>	7.5 <sup>a</sup>	81.2 $\pm$ 22.1 <sup>a</sup>	43.5 $\pm$ 14.2 <sup>a</sup>	5.3 $\pm$ 1.7 <sup>a</sup>	7.2 $\pm$ 3.6
	PF	21.8 <sup>b</sup>	17.8 <sup>b</sup>	88.5 $\pm$ 21.8 <sup>b</sup>	33.8 $\pm$ 12.5 <sup>b</sup>	6.1 $\pm$ 1.7 <sup>b</sup>	7.6 $\pm$ 3.2
	PT	7.0 <sup>c</sup>	5.4 <sup>c</sup>	99.3 $\pm$ 28.6 <sup>c</sup>	27.7 $\pm$ 10.5 <sup>c</sup>	5.5 $\pm$ 1.2 <sup>a</sup>	6.9 $\pm$ 3.3
CL3b	I	20.8 <sup>a</sup>	14.2 <sup>a</sup>	123.9 $\pm$ 18.8 <sup>a</sup>	80.1 $\pm$ 14.2 <sup>a</sup>	4.0 $\pm$ 2.2 <sup>a</sup>	8.8 $\pm$ 3.8
	PF	16.6 <sup>b</sup>	13.6 <sup>a</sup>	110.8 $\pm$ 17.3 <sup>b</sup>	71.6 $\pm$ 12.9 <sup>b</sup>	4.3 $\pm$ 1.6 <sup>b</sup>	9.1 $\pm$ 3.3
	PT	40.7 <sup>c</sup>	31.6 <sup>b</sup>	114.4 $\pm$ 20.2 <sup>b</sup>	83.4 $\pm$ 13.3 <sup>c</sup>	2.9 $\pm$ 1.2 <sup>c</sup>	8.9 $\pm$ 3.9
CL4b	I	36.1 <sup>a</sup>	24.8 <sup>a</sup>	72.7 $\pm$ 18.2 <sup>a</sup>	86.8 $\pm$ 10.2	1.9 $\pm$ 0.8 <sup>a</sup>	9.5 $\pm$ 3.9 <sup>a</sup>
	PF	12.8 <sup>b</sup>	10.5 <sup>b</sup>	59.0 $\pm$ 17.4 <sup>b</sup>	85.3 $\pm$ 8.8	1.8 $\pm$ 0.8 <sup>b</sup>	9.1 $\pm$ 3.2 <sup>a</sup>
	PT	16.7 <sup>c</sup>	12.9 <sup>c</sup>	55.5 $\pm$ 17.3 <sup>b</sup>	85.9 $\pm$ 9.2	1.5 $\pm$ 0.5 <sup>c</sup>	8.5 $\pm$ 3.0 <sup>b</sup>
CL5b	PT	2.0	1.5	156.2 $\pm$ 19.2	64.5 $\pm$ 10.3	6.0 $\pm$ 1.1	9.1 $\pm$ 2.4

<sup>1</sup>:  $\chi^2$  on raw data (rest of comparisons by ANOVA+SNK test).

<sup>a,b,c</sup>: rows (treatments within subpopulations) with different superscripts differ P<0.05.

TABLE 6. Description of the clusters obtained in the “freezability” clustering analysis (Figure 6). We have indicated the number of males included in each cluster, and the Mean $\pm$ SD of the “freezability” parameters (TMdiff, VIABdiff and ACRdiff), total motility (TM) and the percentages of the previously studied subpopulations (CL1, CL2, CL3, PSP and RSP).

Cluster	Males	TMdiff	VIABdiff	ACRdiff	TM	CL1	CL2	CL3	PSP	RSP
1	5	-3.3 $\pm$ 6.6	-16.3 $\pm$ 3.5	-2.7 $\pm$ 0.9	81.6 $\pm$ 5.9	38.7 $\pm$ 12.6	51.0 $\pm$ 8.4	10.3 $\pm$ 10.5	30.9 $\pm$ 7.8	28.3 $\pm$ 17.2
2	3	-6.1 $\pm$ 5.5	-22.1 $\pm$ 4.4	-5.5 $\pm$ 1.0	80.4 $\pm$ 3.8	46.3 $\pm$ 7.7	45.8 $\pm$ 3.7	7.8 $\pm$ 4.8	21.4 $\pm$ 2.9	48.1 $\pm$ 16.4
3	2	6.9 $\pm$ 1.2	-25.6 $\pm$ 1.3	-6.9 $\pm$ 2.9	76.5 $\pm$ 0.0	65.1 $\pm$ 19.6	25.9 $\pm$ 6.9	9.0 $\pm$ 12.7	25.3 $\pm$ 7.1	34.2 $\pm$ 4.2
4	1	-22.3	-17.4	-9.1	87.2	24.6	53.8	21.5	20.4	46.4
Total	11	-4.0 $\pm$ 9.1	-19.7 $\pm$ 4.9	-4.8 $\pm$ 2.5	80.8 $\pm$ 5.0	44.3 $\pm$ 16	45.3 $\pm$ 11.6	10.4 $\pm$ 8.9	26.3 $\pm$ 7.3	36.4 $\pm$ 16.1

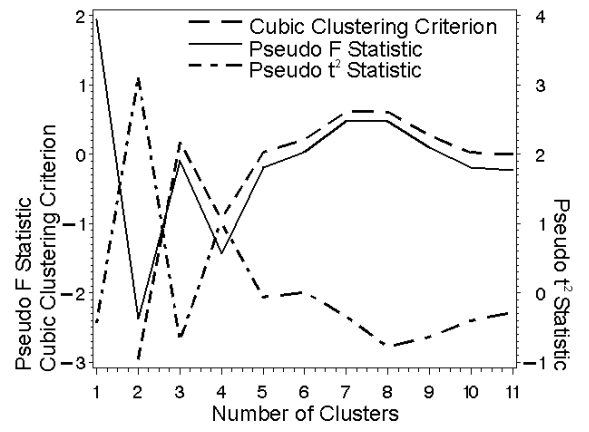
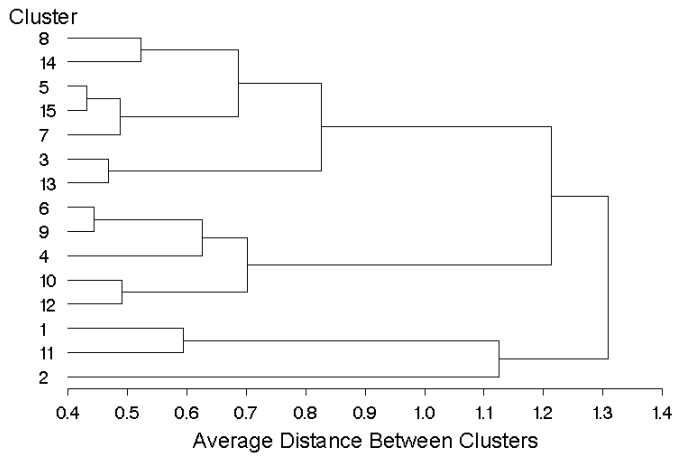


FIGURE 1.

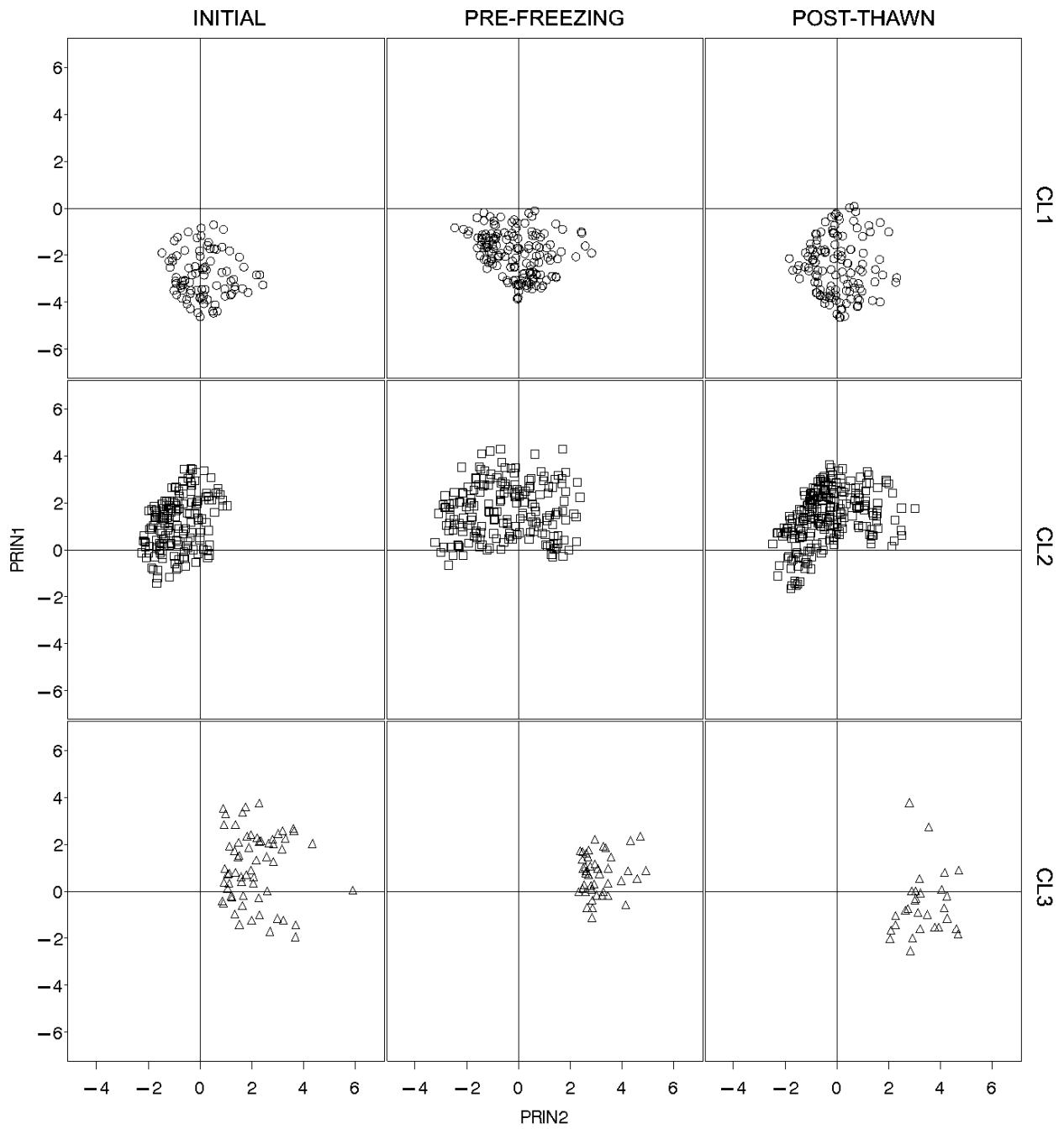


FIGURE 2.



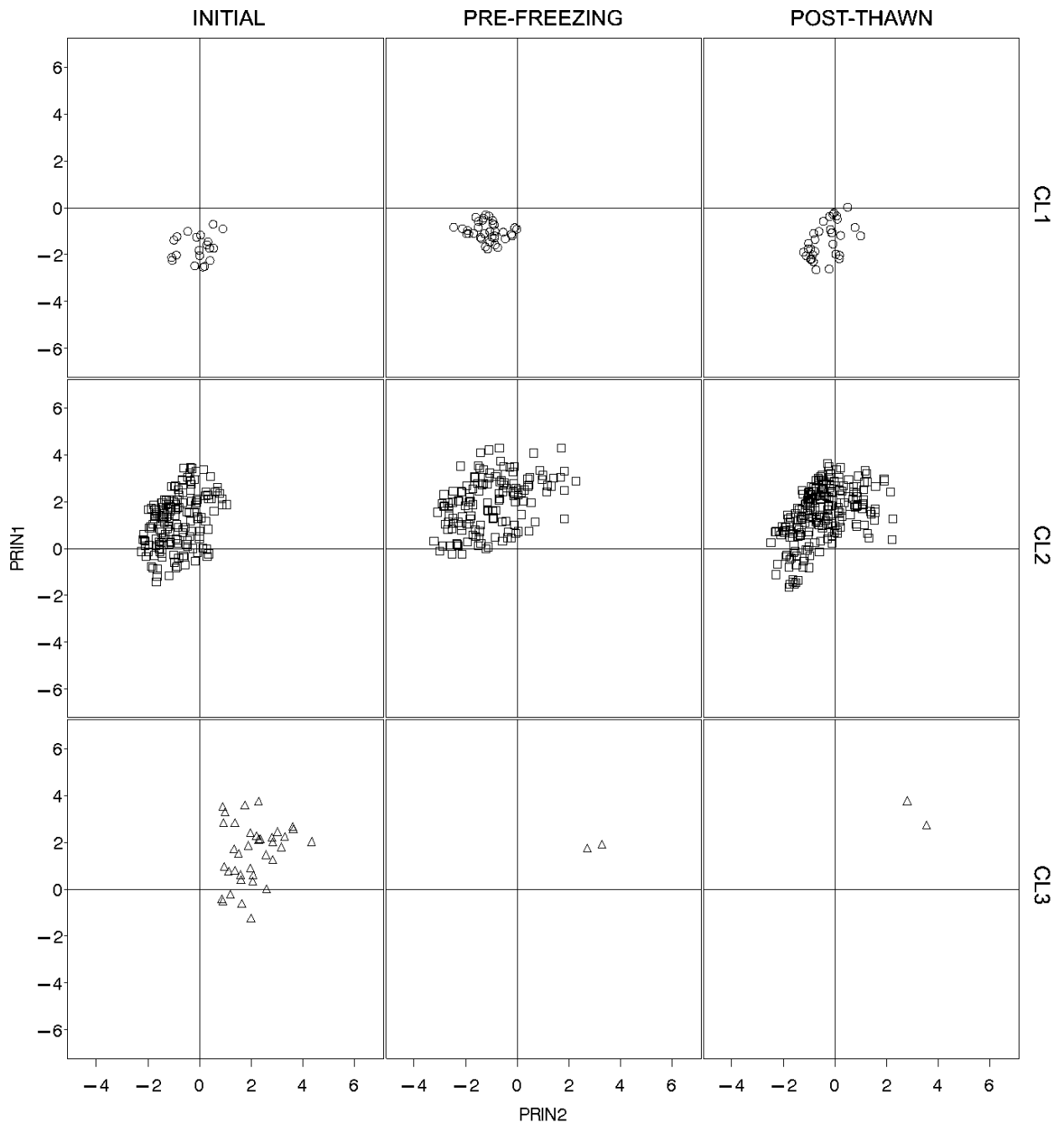


FIGURE 3.

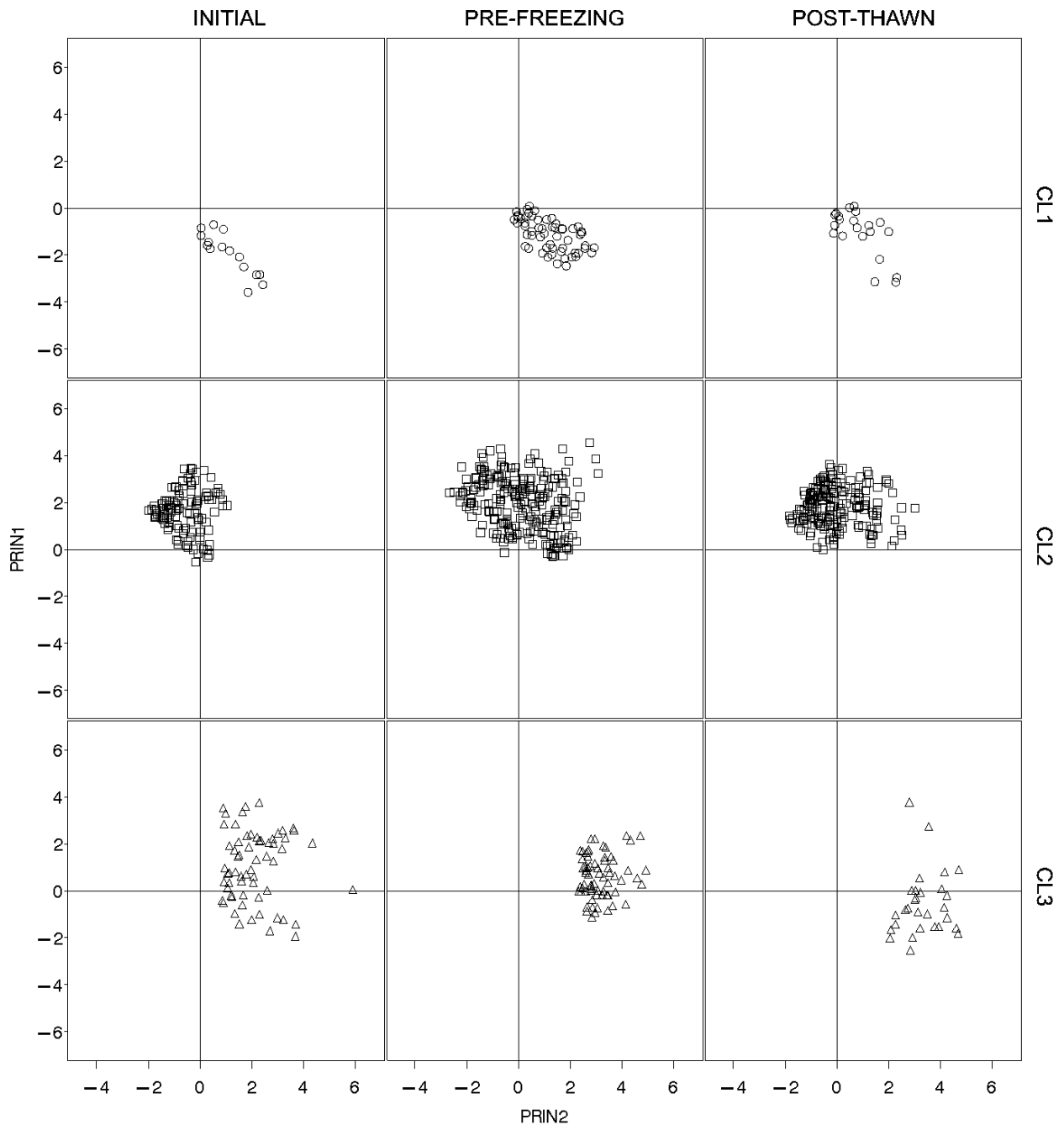


FIGURE 4.

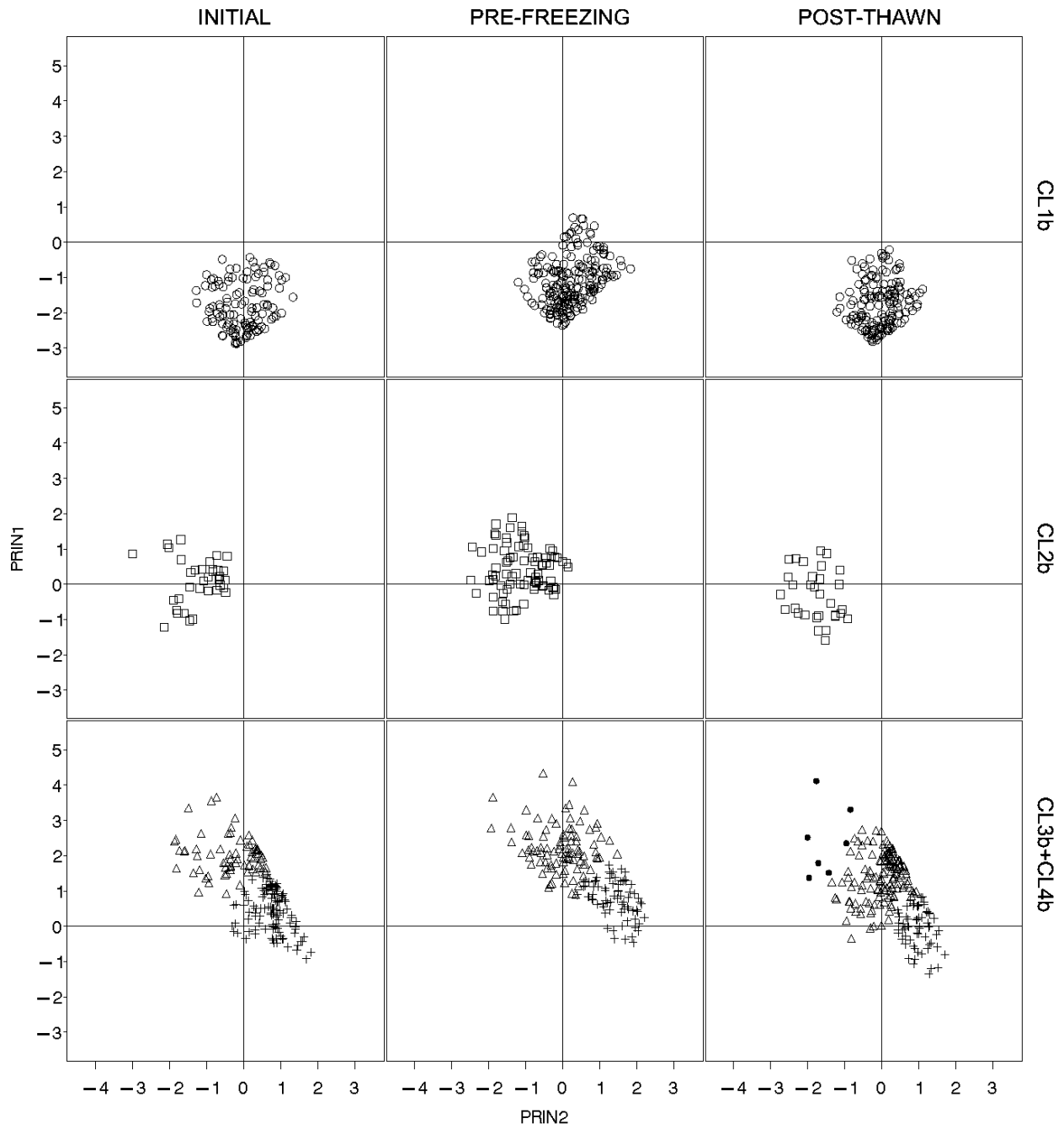
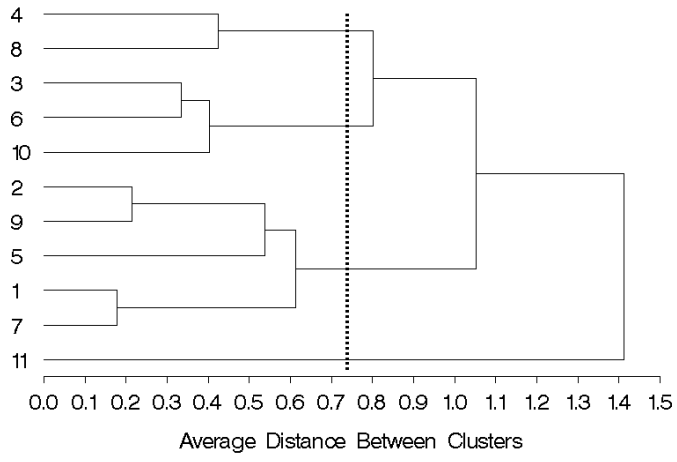
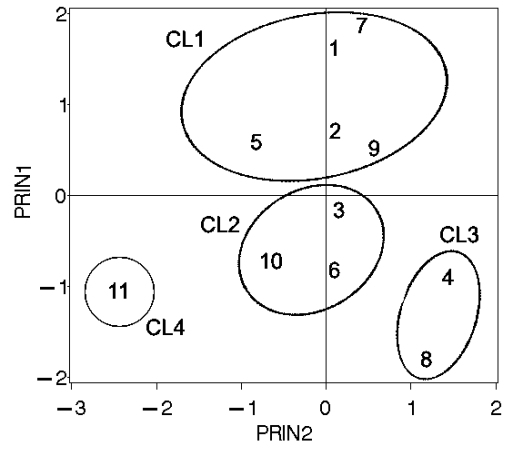


FIGURE 5.

Male



6A



6B

FIGURE 6.